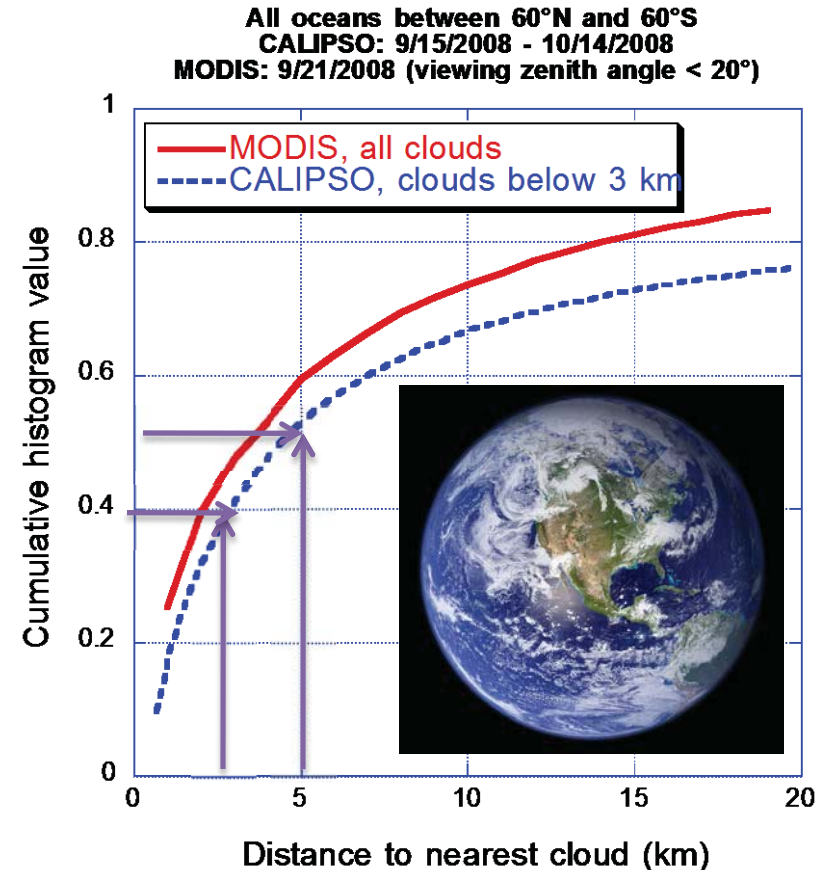


# Effect of clouds on aerosol properties; a view from satellite and ground-based observations

A. Marshak (NASA GSFC),  
T. Varnai (JCET), W. Yang (GESTAR),  
G. Wen (GESTAR), P. McBride (GESTAR)

# Motivation

- Climate studies (e.g., aerosol indirect effect) demand a precise **separation of clear and cloudy** air;
- Remote sensing **retrieval** of aerosol properties near clouds is a **big challenge**;
- Excluding aerosols near clouds will dramatically reduce the database and **underestimate** the forcing, while including them may **overestimate** it because of unaccounted cloud contamination

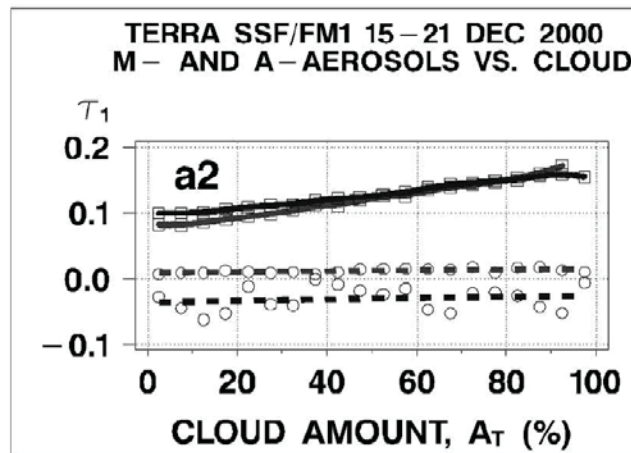


from **MODIS**: 60% of all clear sky pixels are located 5 km or less from all clouds  
from **CALIPSO**: 50% of all clear sky pixels are located 5 km or less from low clouds  
(e.g., Twohy et al., 2009)

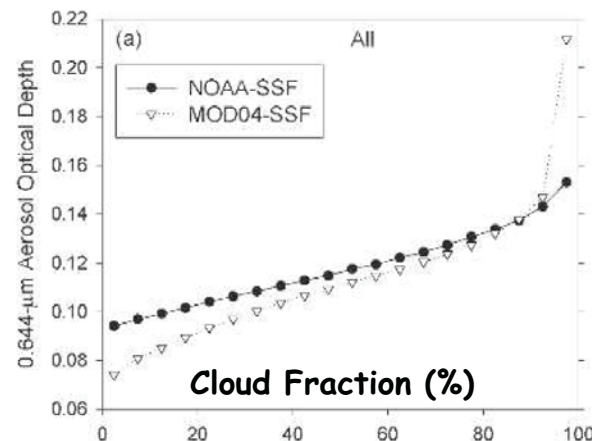
# What happens to aerosol in the vicinity of clouds?

All observations show that aerosols seem to grow near clouds  
or

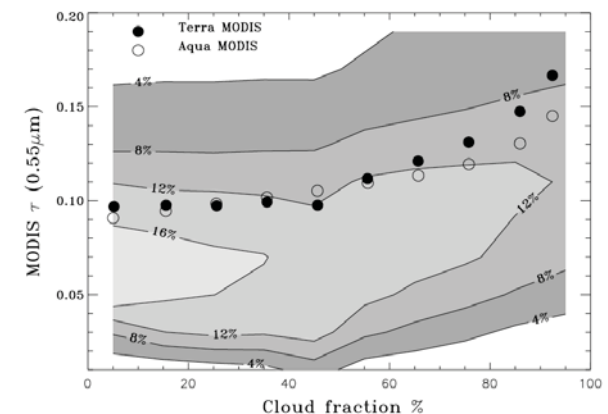
(to be safer) "most satellite observations show a positive correlation between retrieved AOT and cloud cover", e.g.:



from Ignatov et al., 2005



from Loeb and Manalo-Smith, 2005



from Zhang et al., 2005

# What happens to aerosol in the vicinity of clouds?

However, it is not clear yet how much growth comes from

- “real” microphysics, e.g.
  - increased hygroscopic aerosol particles,
  - new particle production or
  - other in-cloud processes.
- “artificial” effects, e.g.
  - cloud contamination (sub-pixel clouds),
  - extra illumination from clouds (a clear pixel in the vicinity of clouds)

Both “artificial” effects may significantly overestimate AOT.

## Twohy et al. (2009)

estimated that "*the aerosol **direct radiative effect** as derived from satellite observations of cloud-free oceans to be **35-65% larger** than that inferred for large (>20 km) cloud-free ocean regions.*"

## Jeong and Li (2010)

found that "**aerosol humidification** effects can explain about **one fourth** of the correlation between the cloud cover and AOT."

## Chand et al. (2012)

found a 25% enhancement in AOT between CF 0.1-0.2 and CF 0.8-0.9. This "**enhancement is consistent** with aerosol hygroscopic growth in the **humid environment** surrounding clouds."

## Bar-Or et al. (2012)

argued that "*at least for warm Cumulus cloud fields, the **variations** of the mean **RH** values are **negligible** at distances **larger than ~0.5 km** from clouds.*"

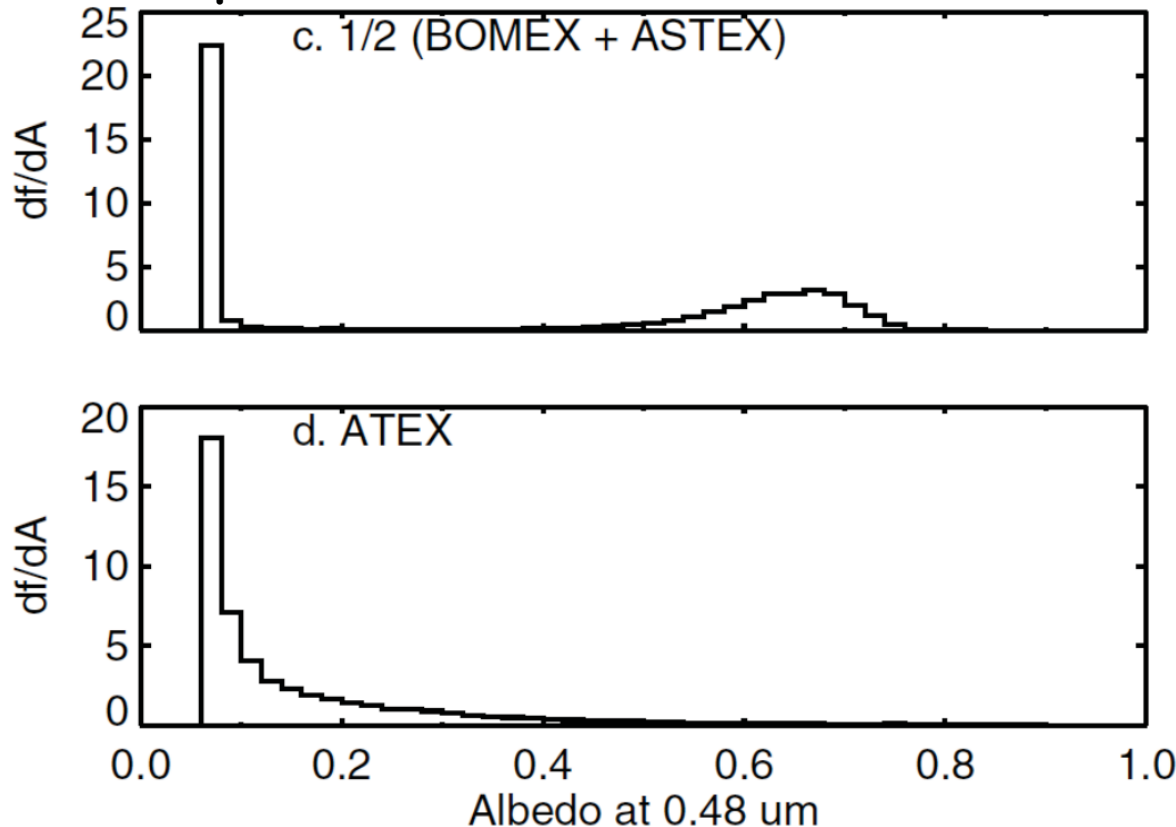
# From Chapter 7 of IPCC AR5 report

*... aerosol measured in the vicinity of clouds is **significantly different** than it would be were the cloud field, and its proximate cause (high humidity), not present. The latter results from **humidification effects** on aerosol optical properties, **contamination by undetectable cloud fragments** and **the remote effects of radiation** scattered by cloud edges on aerosol retrieval.*

# Inseparability of cloudy and clear skies under partial cloud cover

(from Charlson et al., 2007)

Albedo pdfs from LES of trade Cu and Sc clouds



average of the BOMEX (~10% cloud cover) and ASTEX (overcast) fields; clear and cloudy contributions are nicely separated

for ATEX trade Cu (~50% cloud cover), with the albedos from clear and cloudy portions inseparable

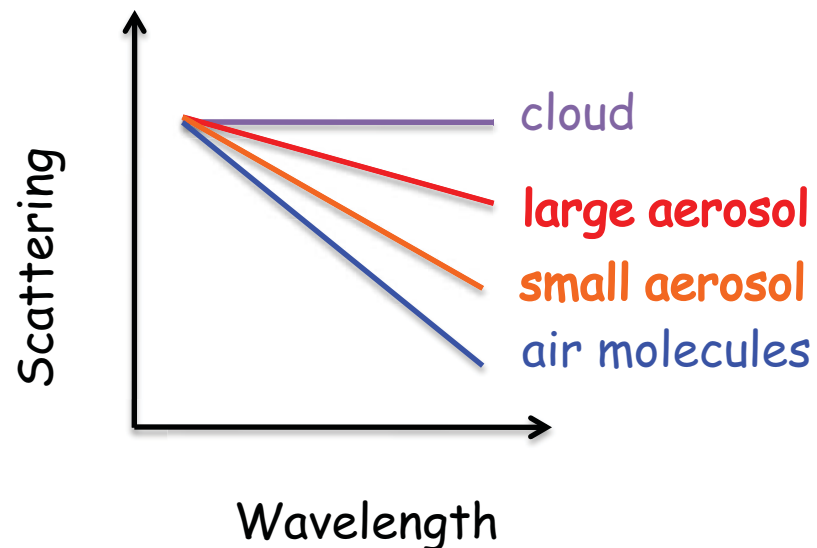
*"The existence of partly cloudy regions and the fact that the clear-cloudy distinction is ambiguous and aerosol dependent raise the possibility that the conventional expression may lead to errors." (Charlson et al., 2007)*

Let's check  
**CALIPSO** and  
**MODIS**  
observations



# CALIPSO Data

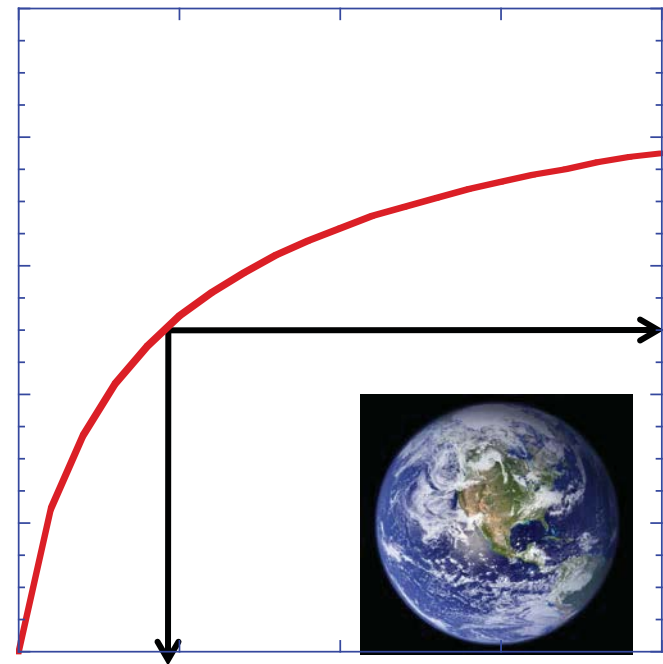
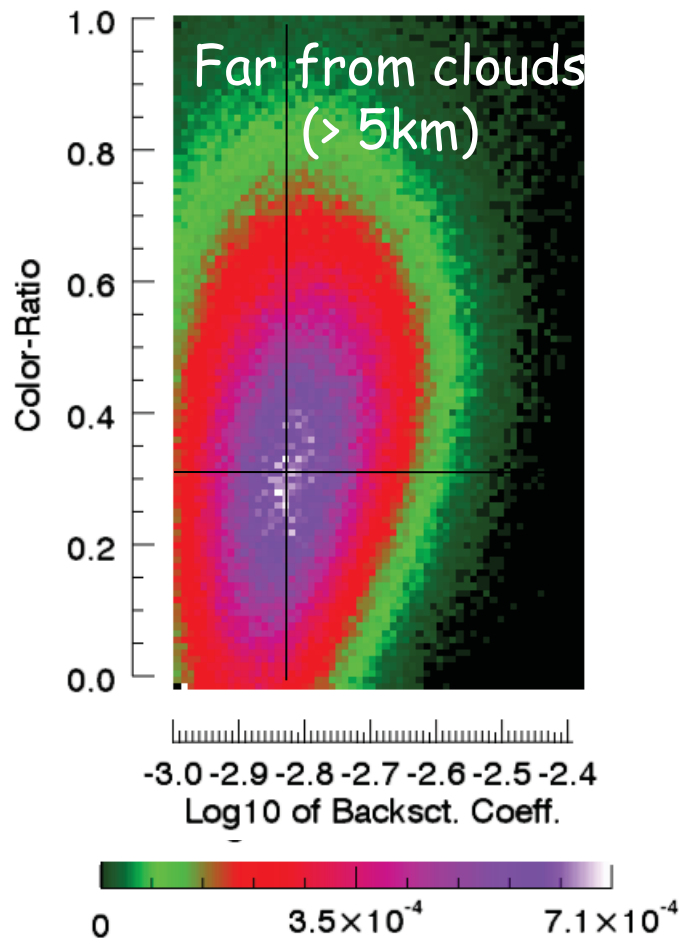
attenuated backscatter coefficient:  $\beta'$   
attenuated color ratio:  $\chi'$ ,  
depolarization ratio:  $\delta'$



# CALIPSO

(ColorRatio vs. Backsct close to and far from clouds)

Global night data over ocean

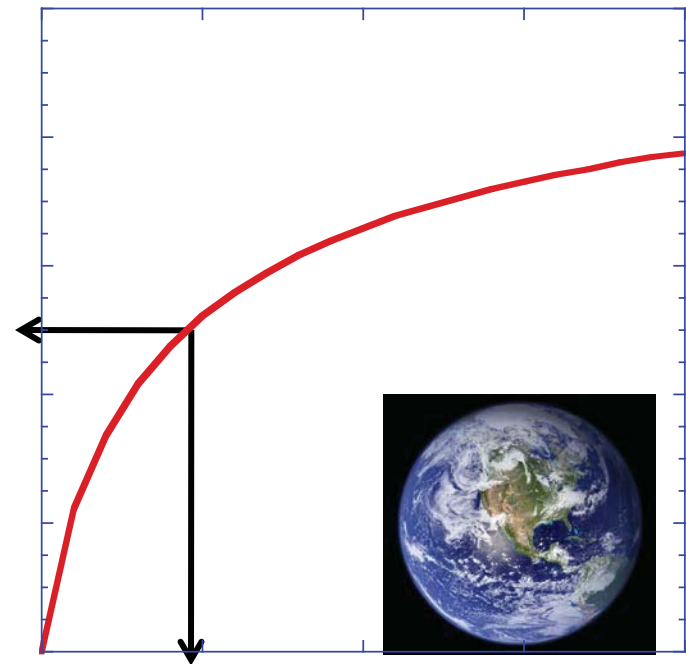
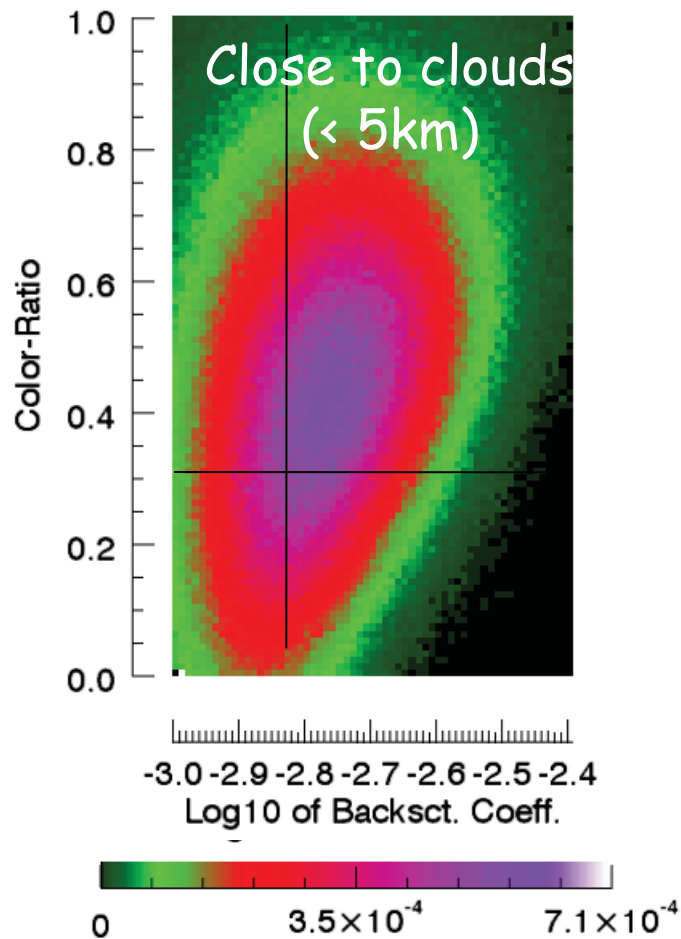


Fraction of cloud-free vertical profiles

# CALIPSO

(ColorRatio vs. Backsct close to and far from clouds)

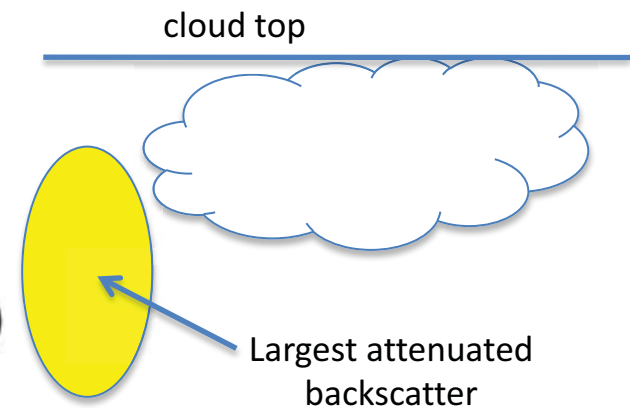
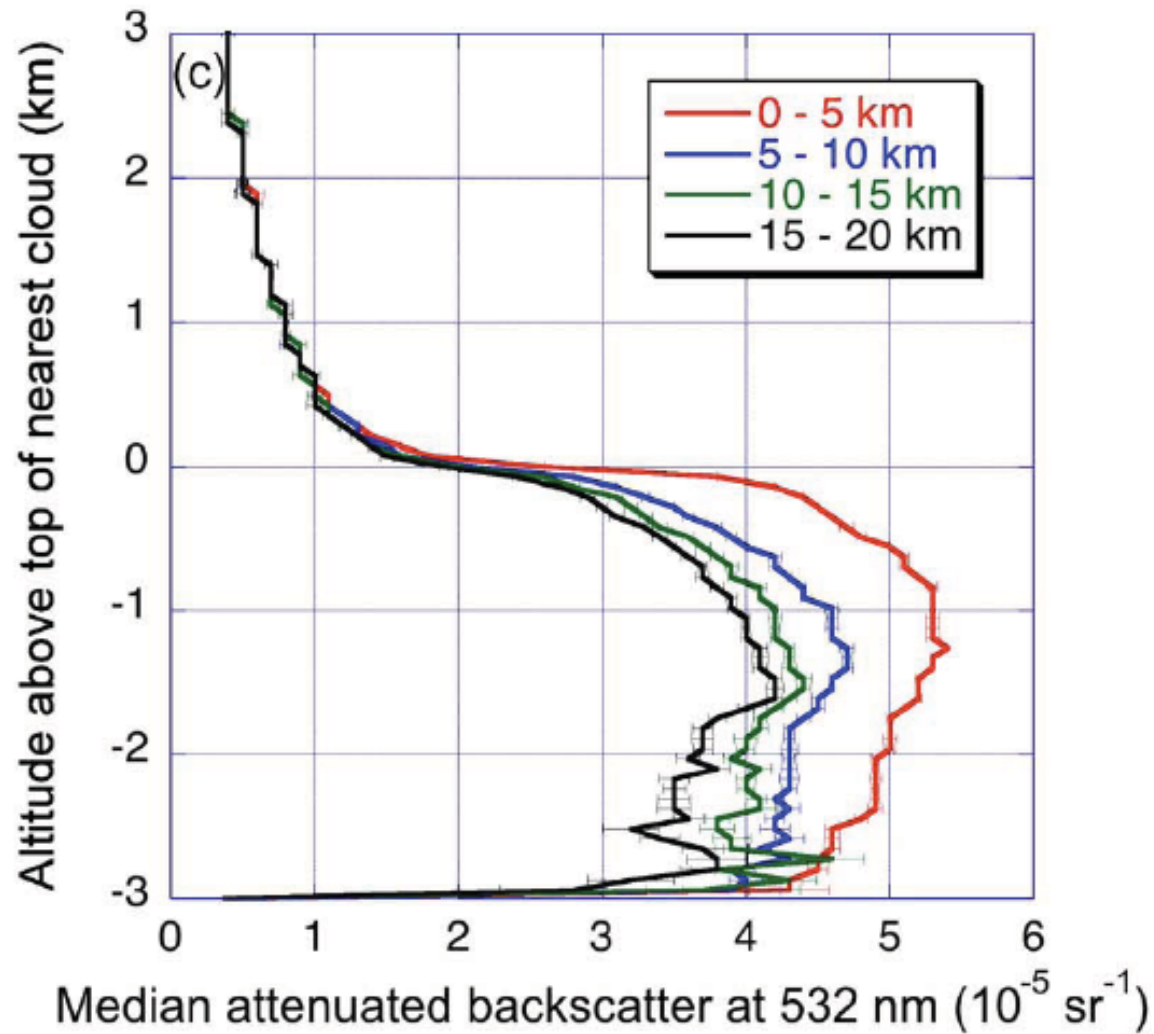
Global night data over ocean



Fraction of cloud-free vertical profiles

# CALIPSO

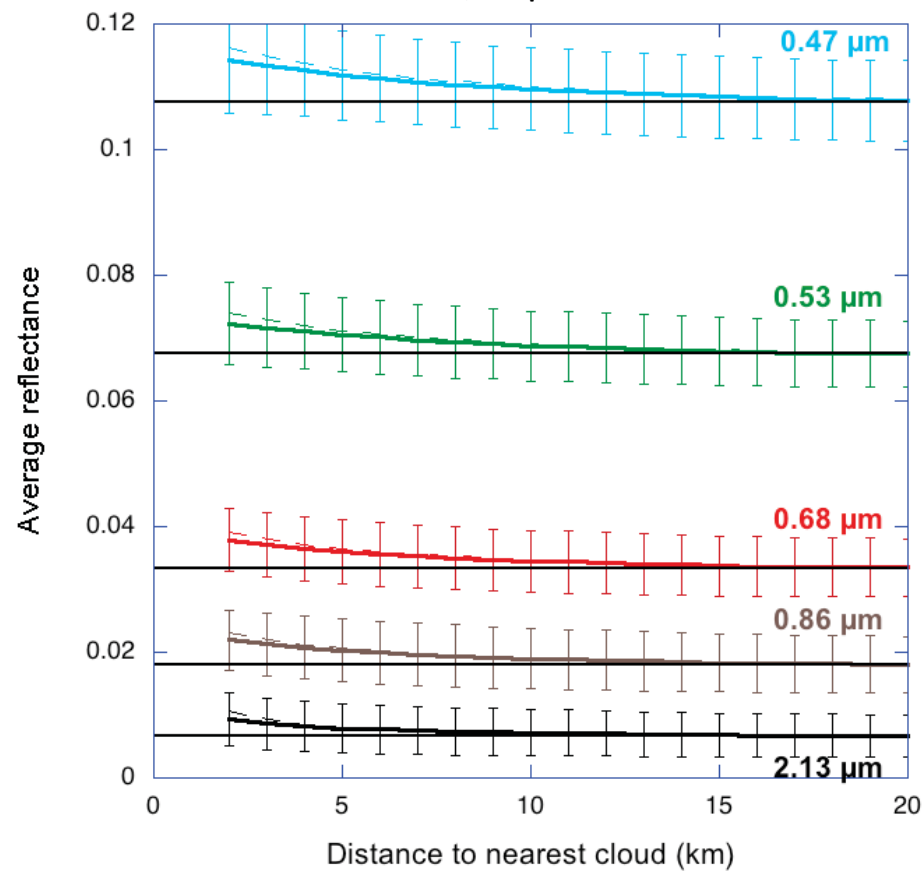
Varnai and Marshak, 2011



Global over ocean data for Sep-Oct 2008

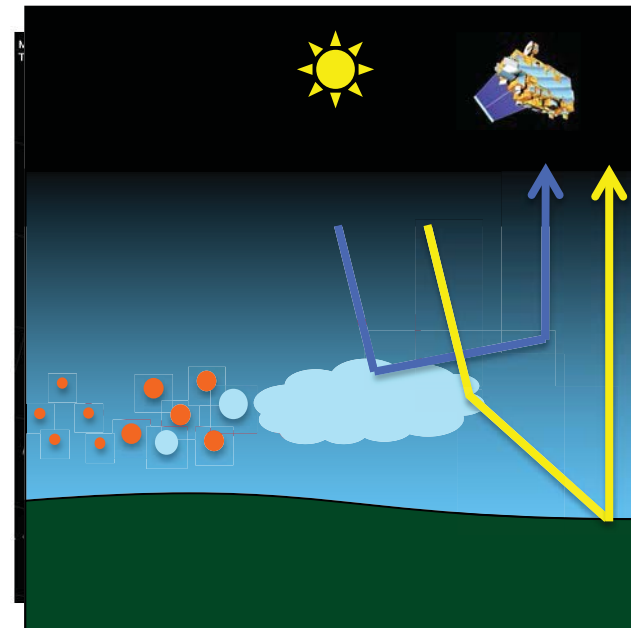
# MODIS

NE Atlantic Ocean, MODIS Terra  
2000-2007, September 14-29



**Reflectance increase may come from:**

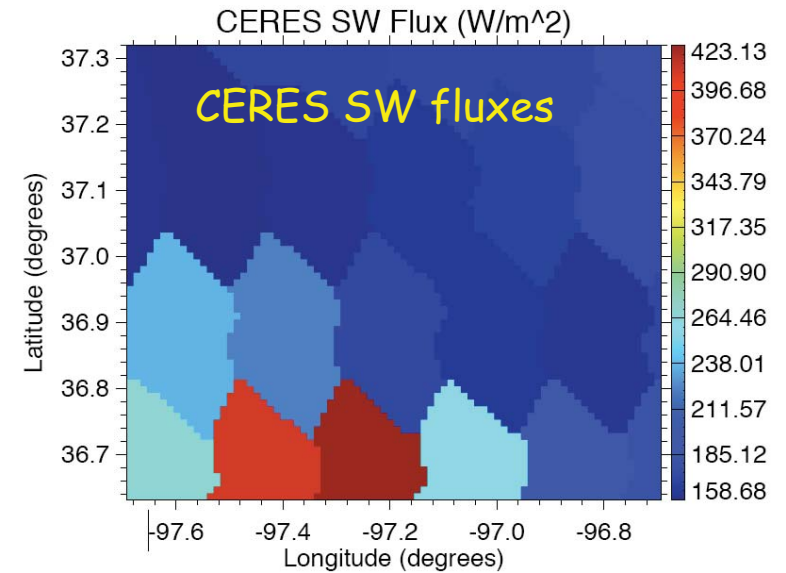
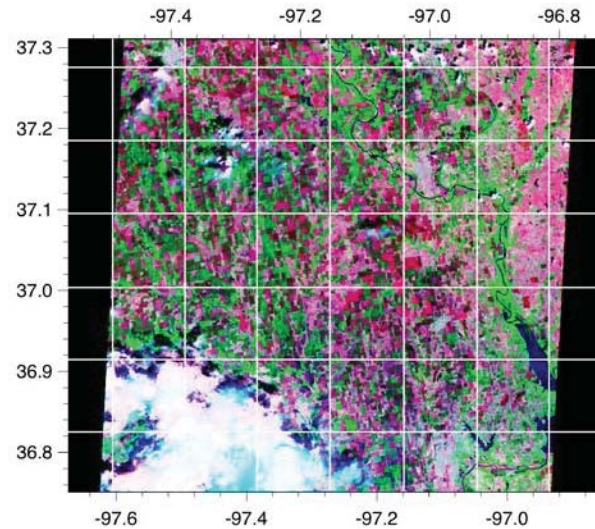
- Aerosol changes (e.g., swelling in humid air)
- Undetected cloud particles
- Instrument imperfections
- 3D radiative effects



# ASTER image over ARM SGP:20060430

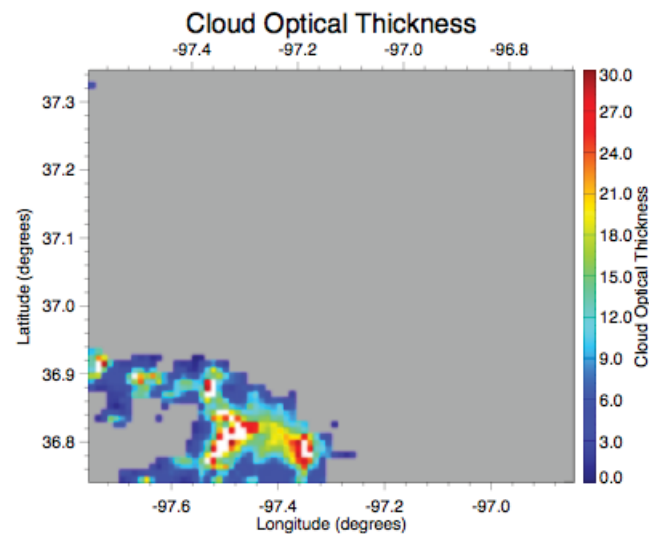
ASTER image ~  
80 by 80 km

Advanced  
Spaceborne  
Thermal Emission  
and Reflection  
Radiometer

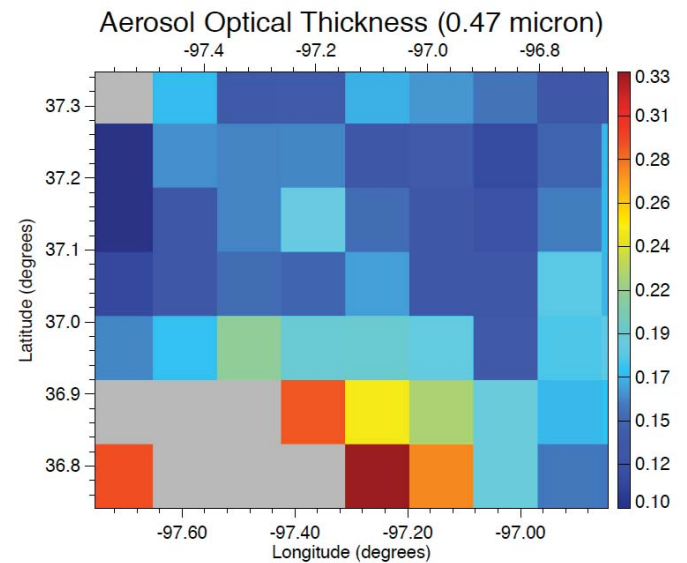


## Cloud Optical Thickness

MODIS  
retrievals

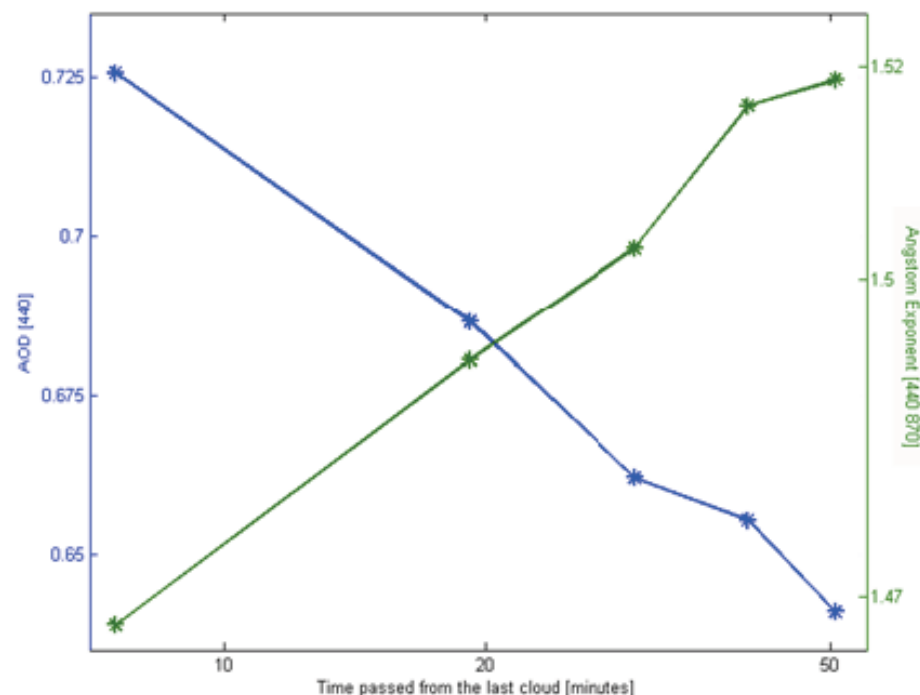


## Aerosol Optical Thickness



**These are Observations.  
What's about Products?**

# AERONET data



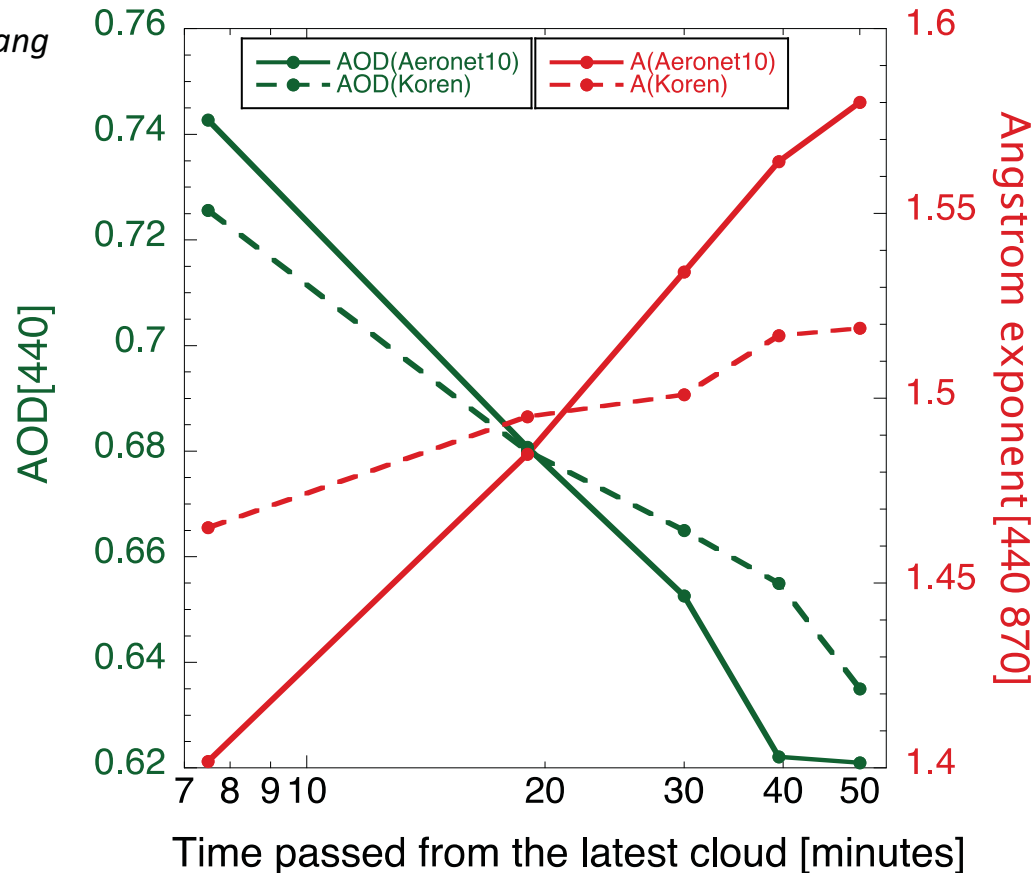
**Figure 3.** An analysis of AERONET data for Alta-Floresta (Brazil) during the biomass (dry) season 2000–2004, as a function of the estimated distance from the nearest cloud. Blue, AOD at 440 nm; green, Angstrom exponent (440, 870). Standard error of the averaged AOD's is 2% and of the Angstrom exponent 3% for this station.

from Koren et al., 2007



# AERONET data

Courtesy of Stefani Huang



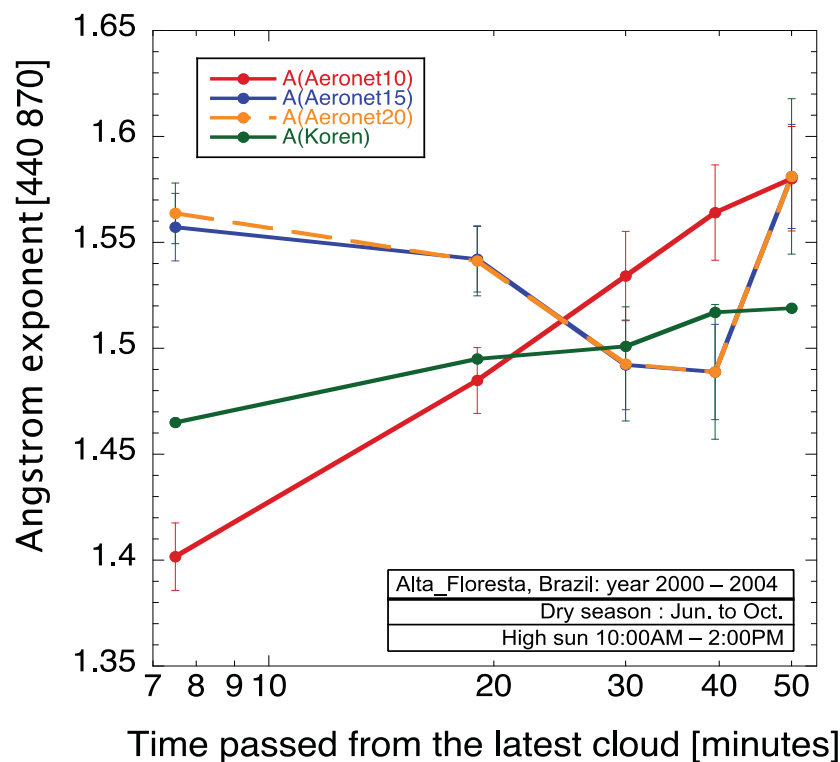
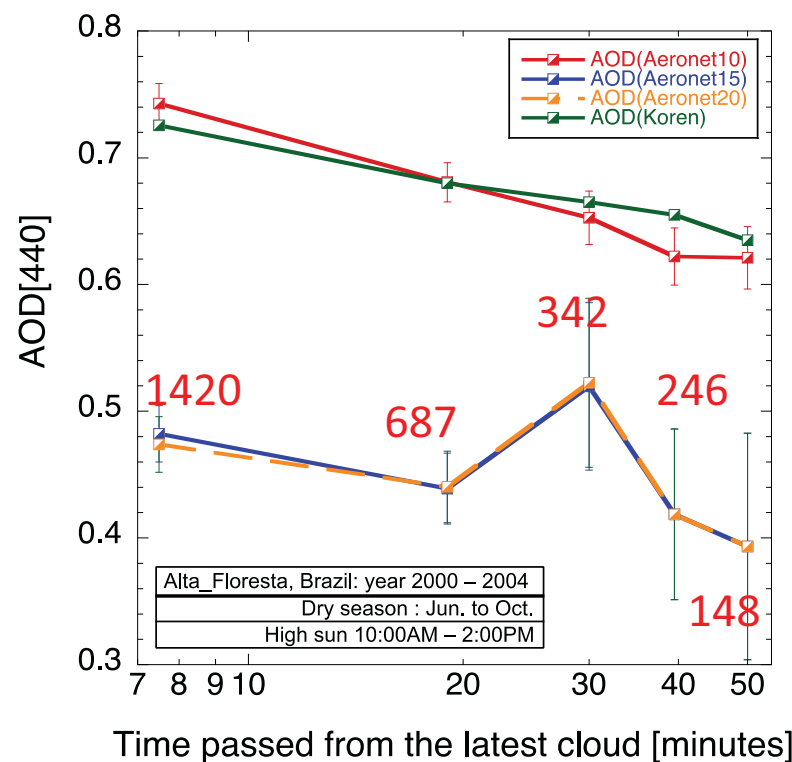
An analysis of AERONET Level 1<sup>1</sup> and Koren et al., (2007)<sup>2</sup> data for Alta-Floresta (Brazil) during the biomass burning (Jun. to Oct., 2000-2004), as a function of the distance from the nearest cloud.

<sup>1</sup> Unscreened data

<sup>2</sup> Applying Kaufman's cloud screening

# AERONET data

Courtesy of Stefani Huang



A comparison of different data set: AERONET Level 1<sup>1</sup>, Level 1.5<sup>2</sup>, Level 2.0<sup>3</sup>, and Koren et al., (2007)<sup>4</sup> data for Alta-Floresta during the biomass burning (June to Oct., 2000-2004).

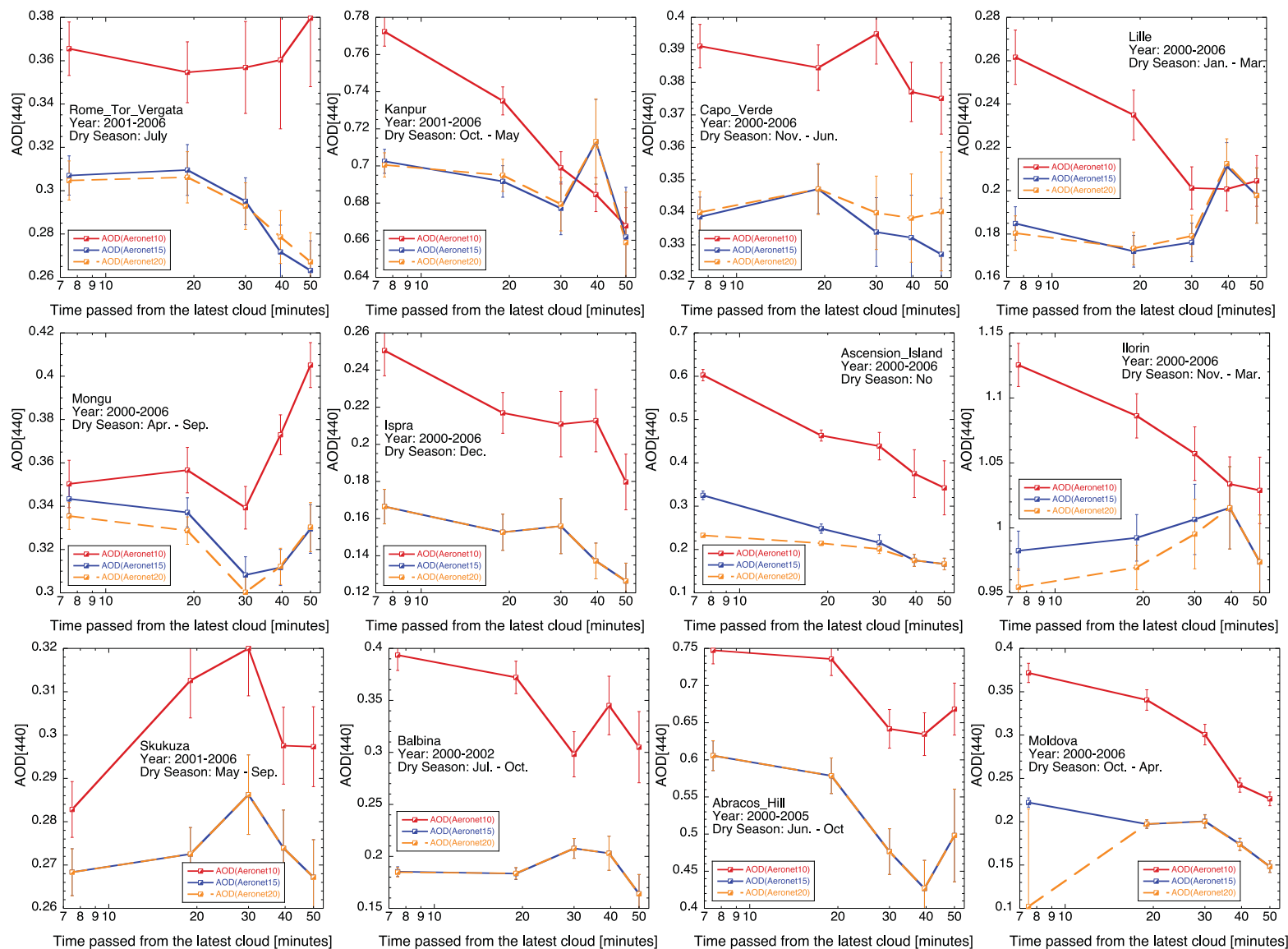
<sup>1</sup> Unscreened data

<sup>2</sup> Cloud-screened data but may not have final calibration applied. These data are not quality assured.

<sup>3</sup> Pre- and post-field calibration applied, cloud-screened, and quality-assured data

<sup>4</sup> Applying Kaufman's cloud screening

# 12 other AERONET stations around the globe: AOD (440 nm)

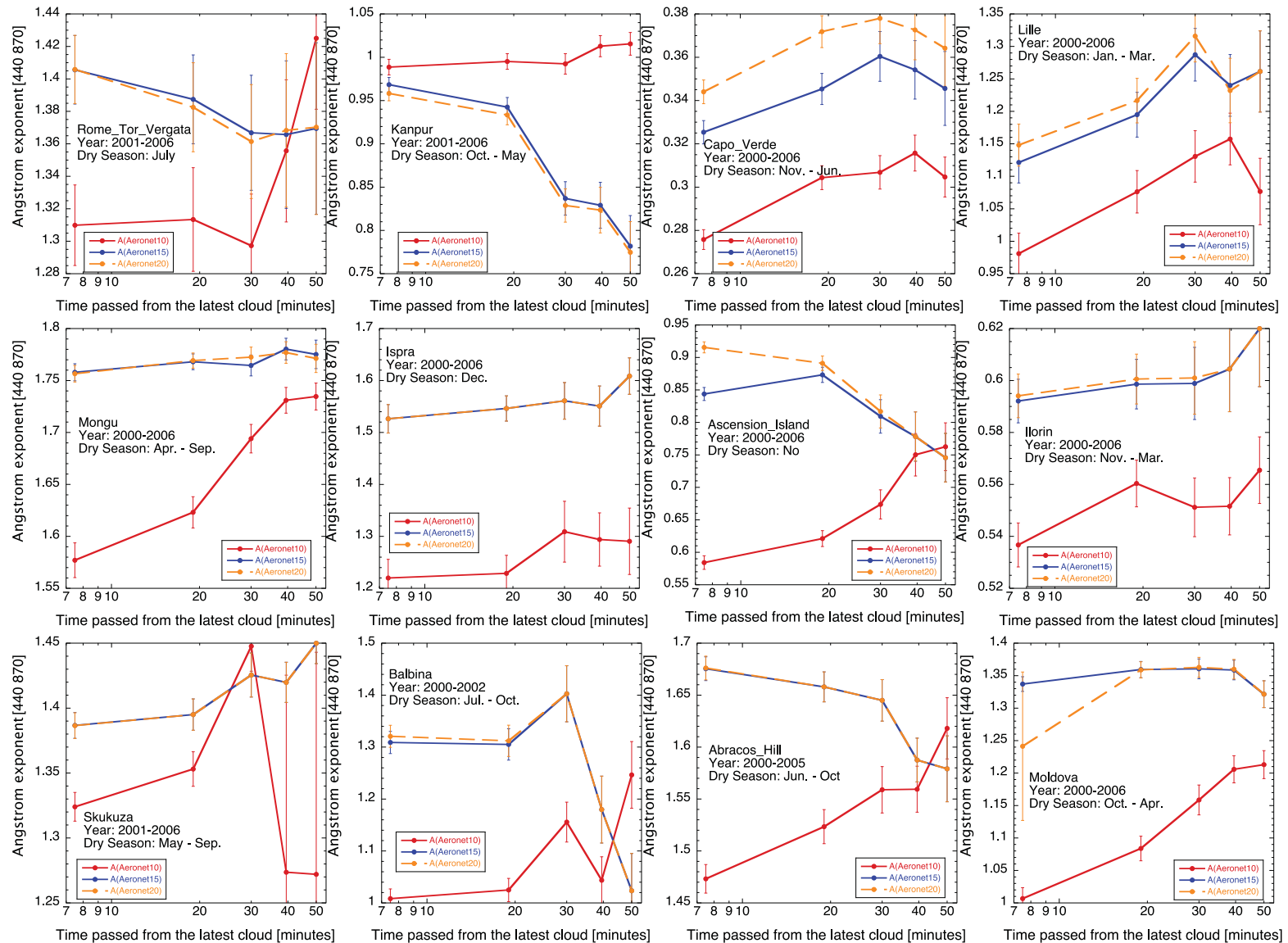


**Capo\_Verde**  
 Level10: 3127, 2410, 1257, 1119, 846  
 Level15: 2367, 1650, 700, 542, 317  
 Level20: 2181, 1519, 658, 509, 295

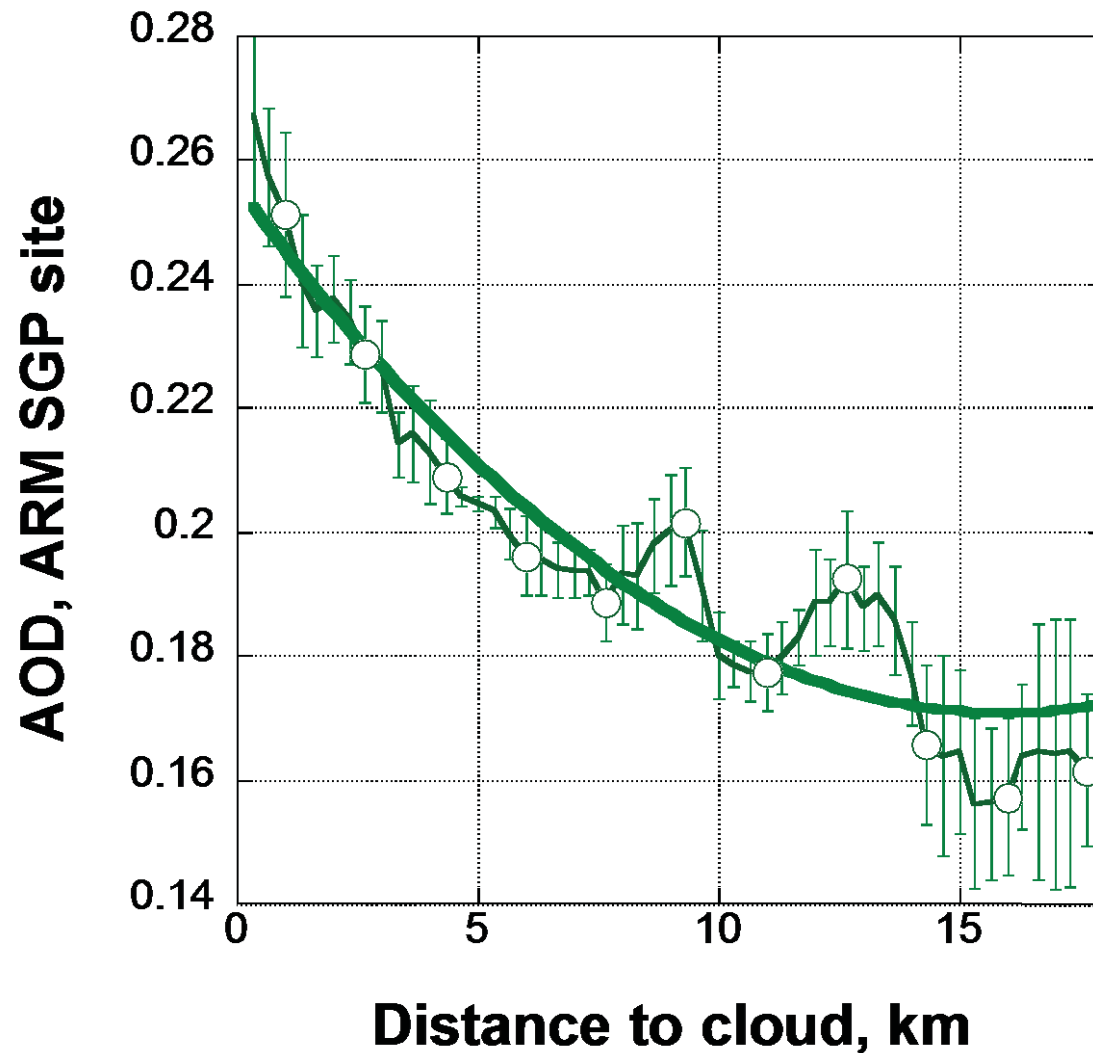
**Ilorin**  
 Level10: 1757, 1510, 938, 861, 632  
 Level15: 1700, 1251, 556, 381, 203  
 Level20: 1677, 1233, 550, 381, 203

**Moldova**  
 Level10: 1079, 814, 446, 413, 374  
 Level15: 906, 683, 347, 264, 175  
 Level20: 882, 639, 345, 263, 175

# 12 other AERONET stations around the globe: AE (440-870 nm)

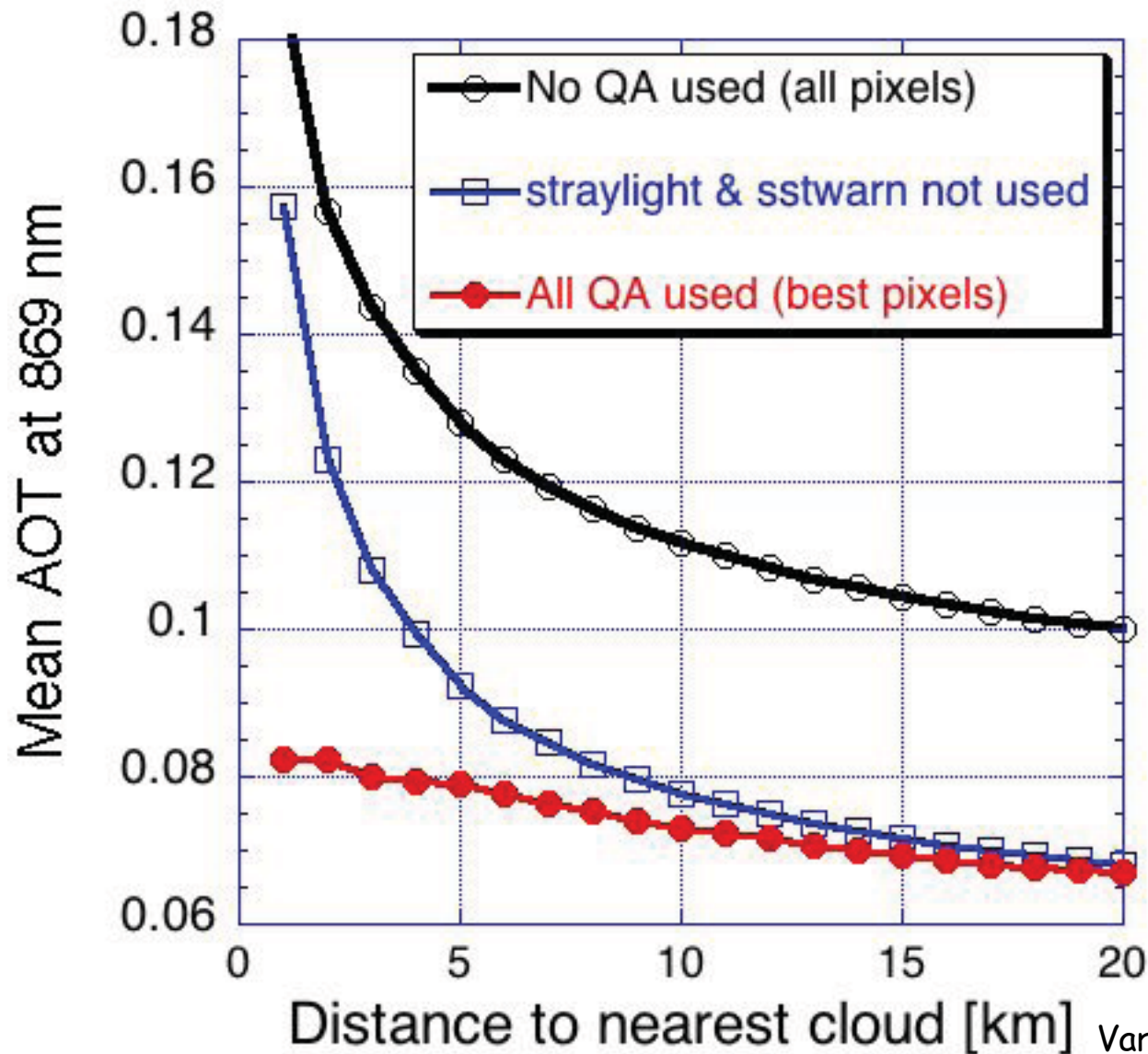


# CALIPSO AOD over the ARM SGP site



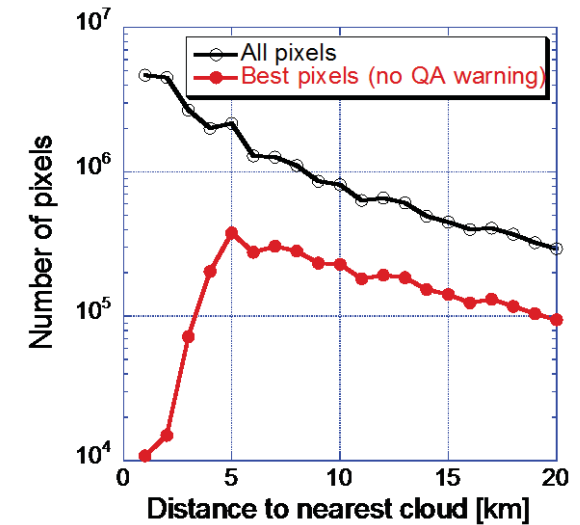
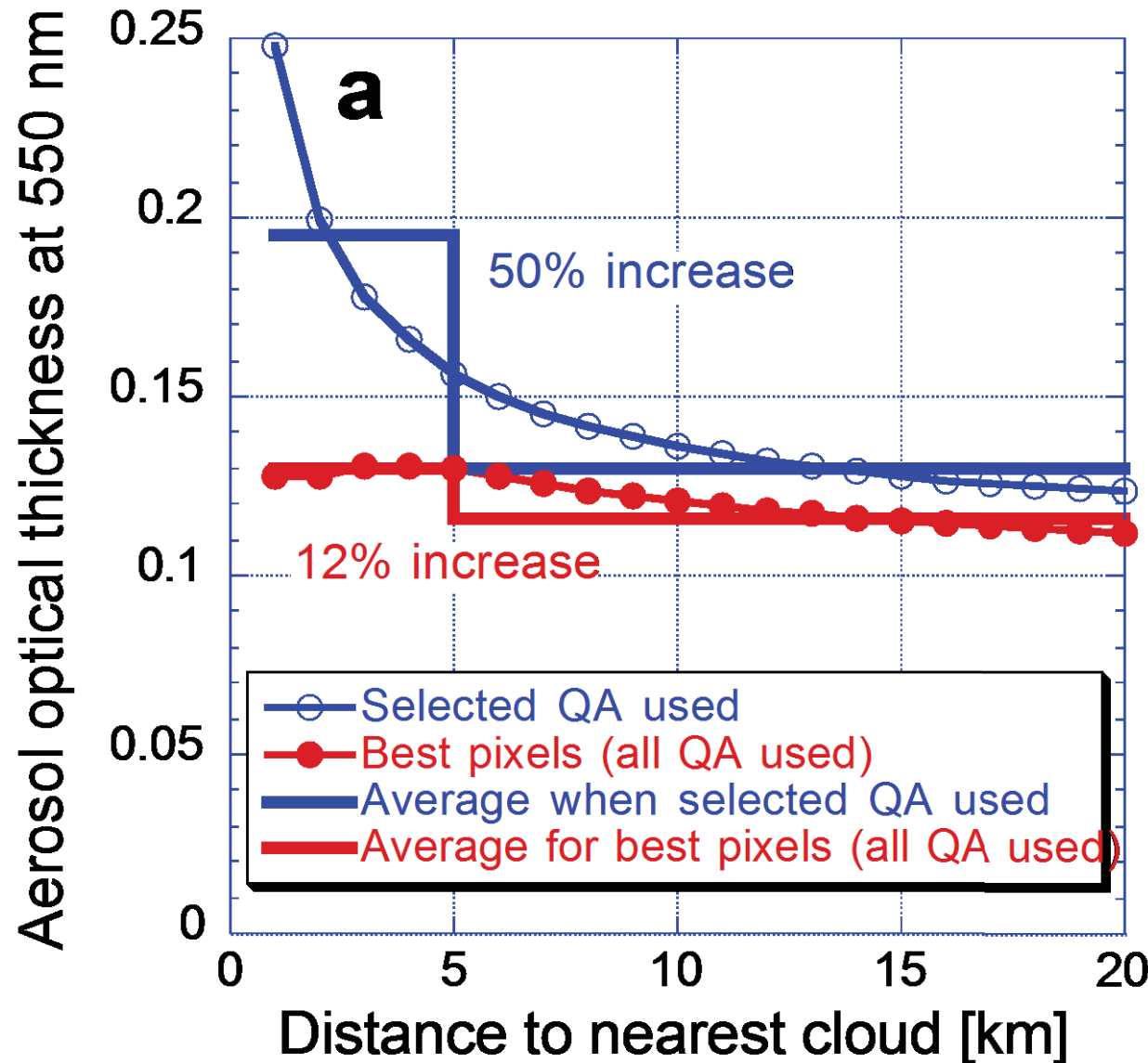
5 years (2007-2011) over a 3° by 3° area around ARM SGP site

# MODIS Ocean Color Product: AOT @ 869 nm



Varnai and Marshak, 2014

# MODIS Ocean AOD @ 550 nm

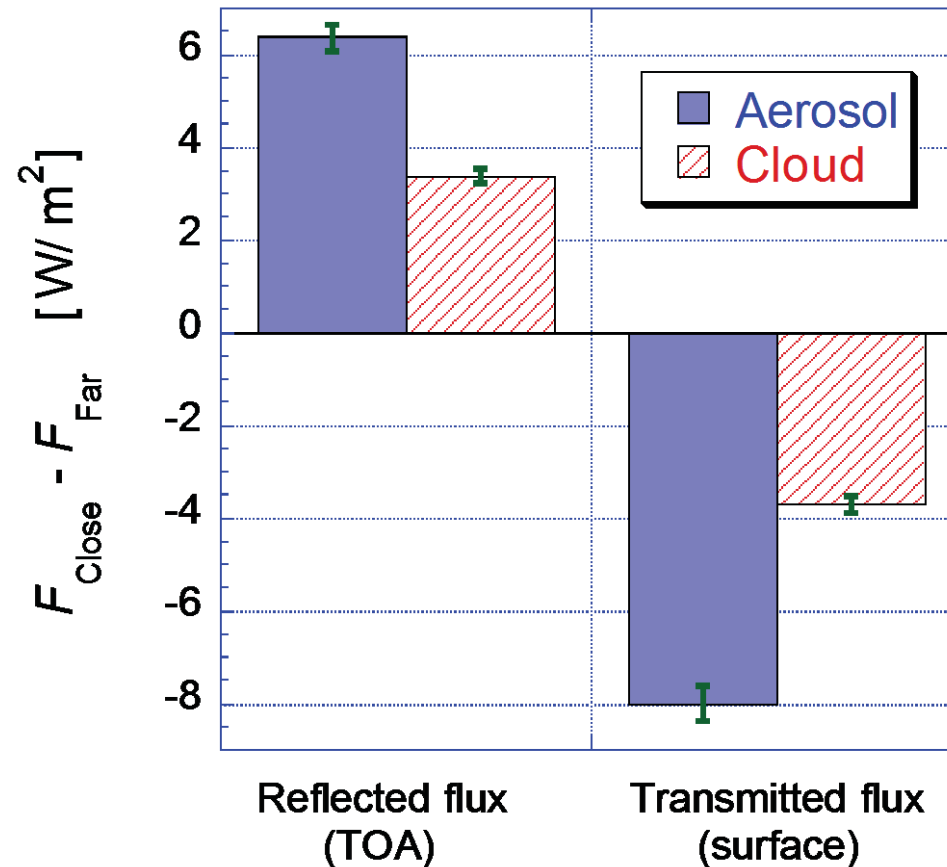


excluding aerosols  
data near clouds will  
dramatically reduce  
the database

Chand et al. (2012) found a 25% enhancement in AOT between CF 0.1-0.2 and CF 0.8-0.9 which was "consistent with aerosol hygroscopic growth in the humid environment surrounding clouds."

# Impact of AOD differences on direct RF

Varnai and Marshak, 2014



Solid blue and red striped bars show the impact for two interpretations of the difference between AOD *close* and *far* from clouds: all near clouds OD changes are attributed to aerosols (blue) and to undetected clouds (red).



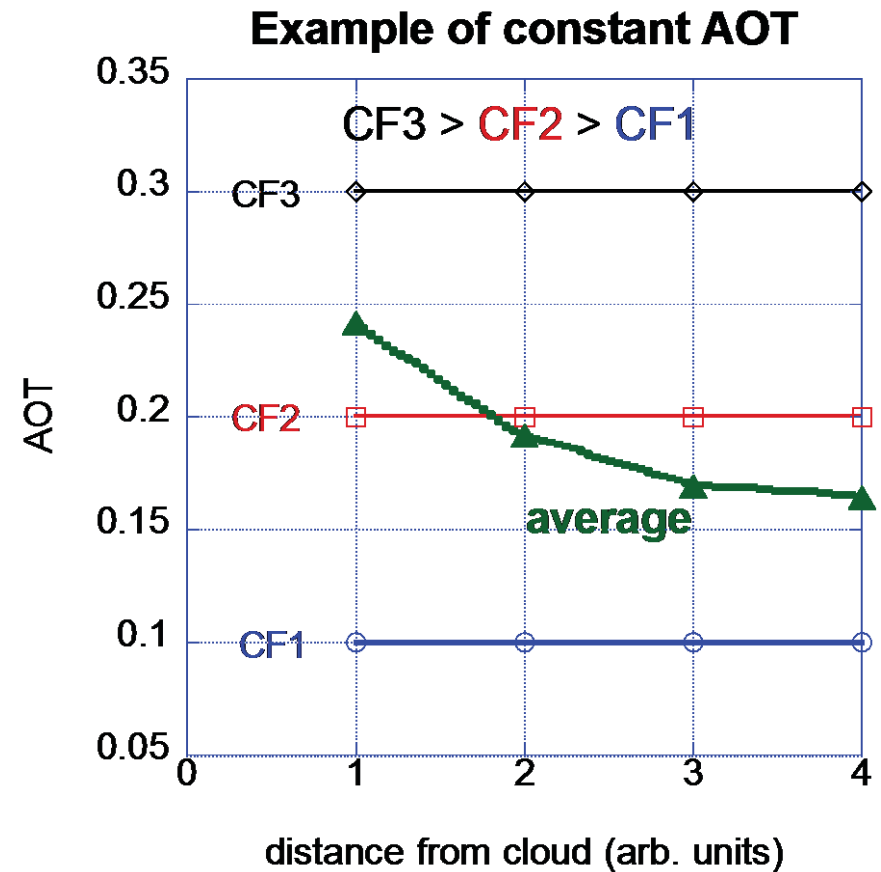
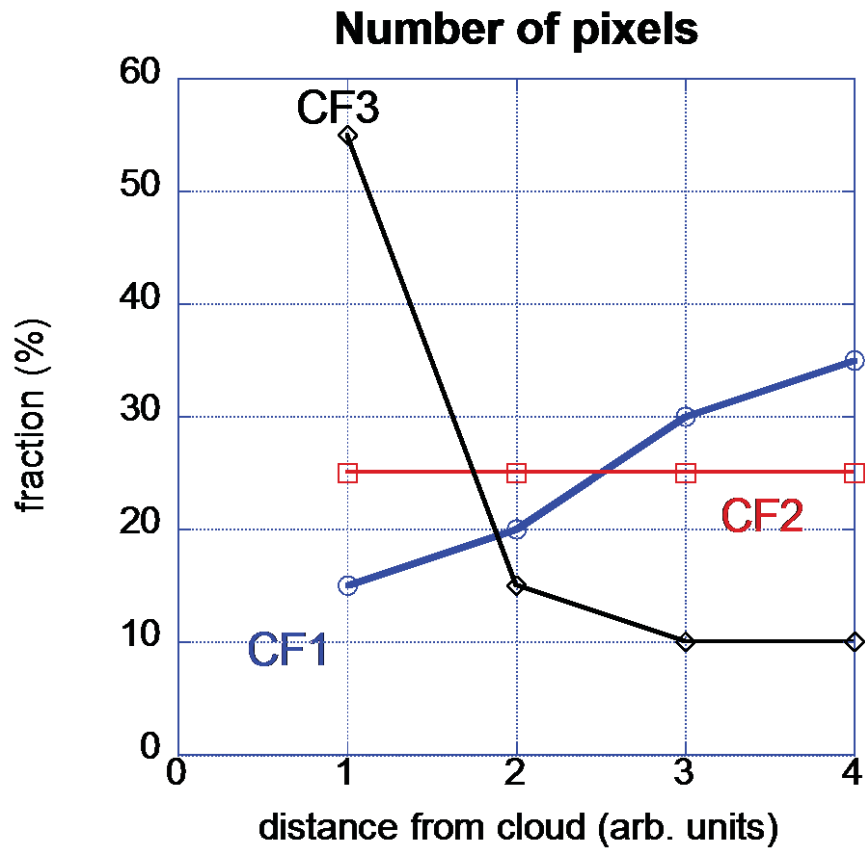
# Large-scale meteorology

The clear pixels within a few km of clouds are under partly cloudy conditions, while the clear pixels 10-20 km away from clouds are under large-scale high pressure conditions.

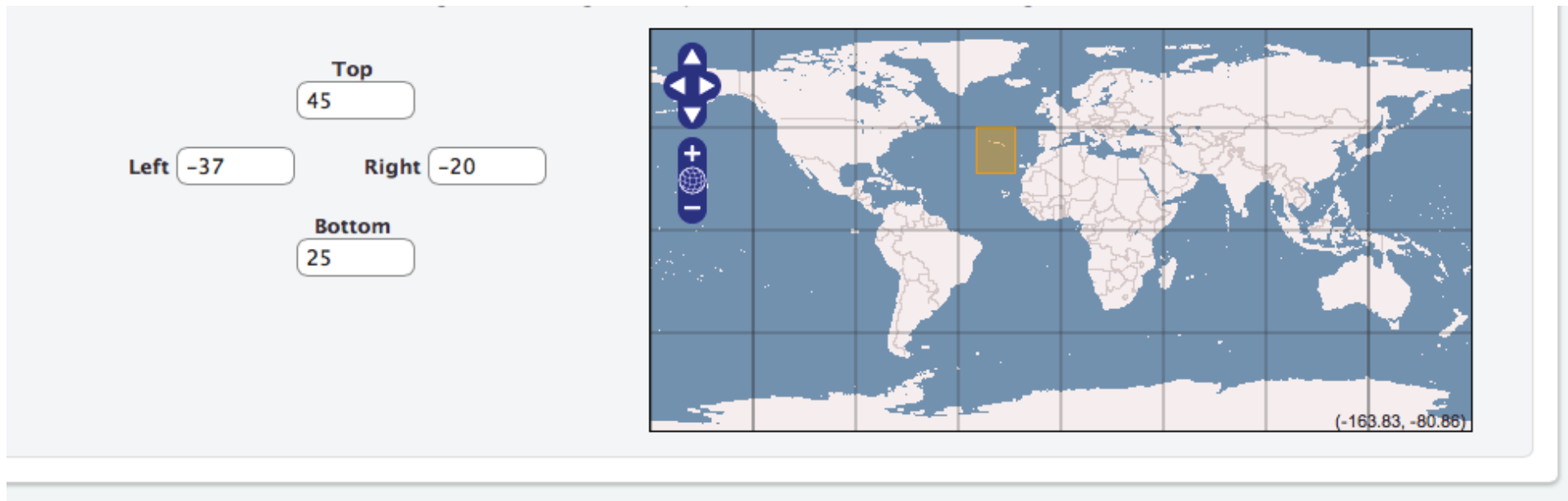
The weather patterns and circulations for these two cases can be very different and the AOT and size can be different.

Relative humidity under these two cases is also different: higher under partly cloudy conditions than under large-scale clear conditions.

# What's about 'apples' and 'oranges'?

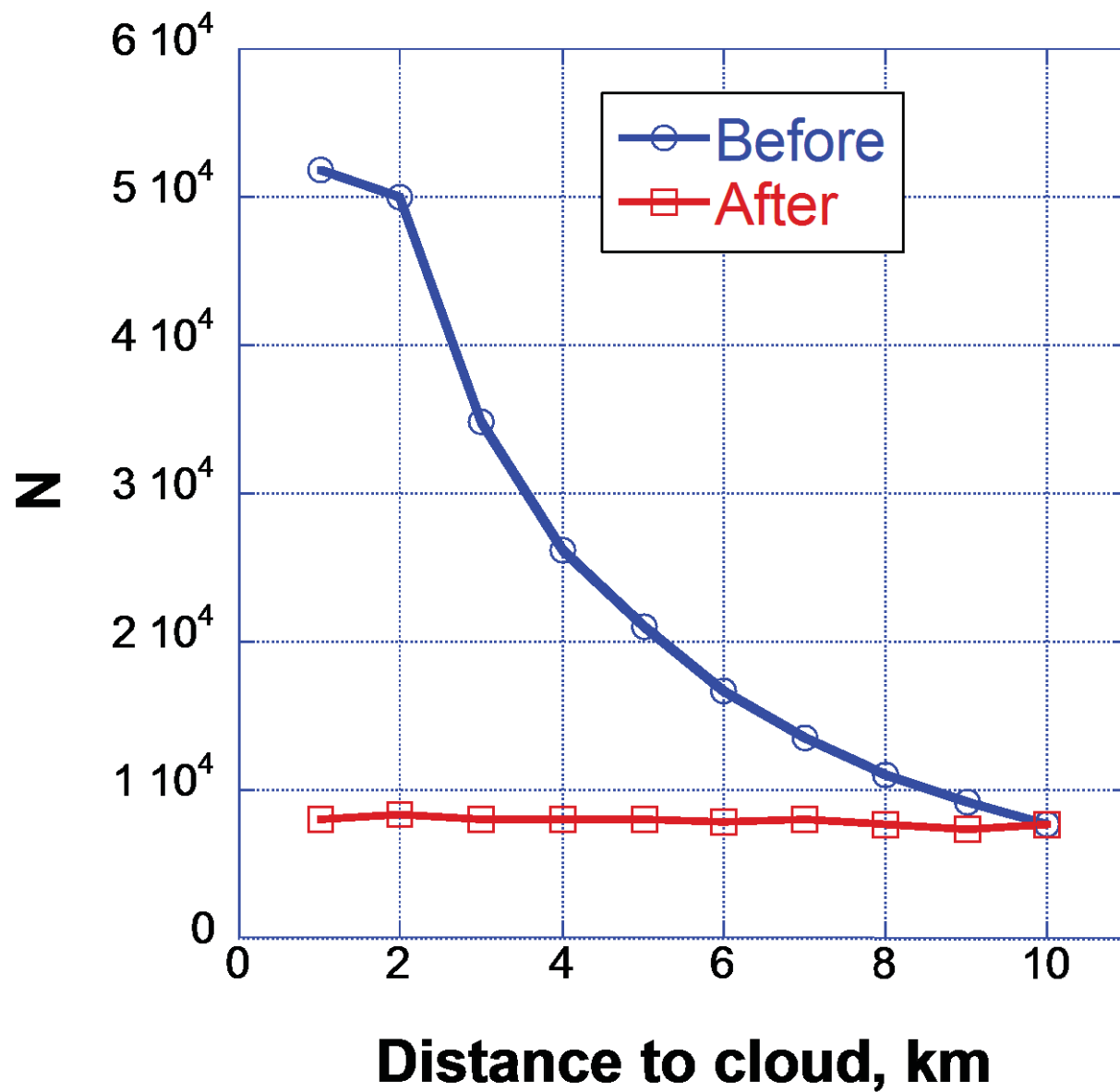


# CALIPSO

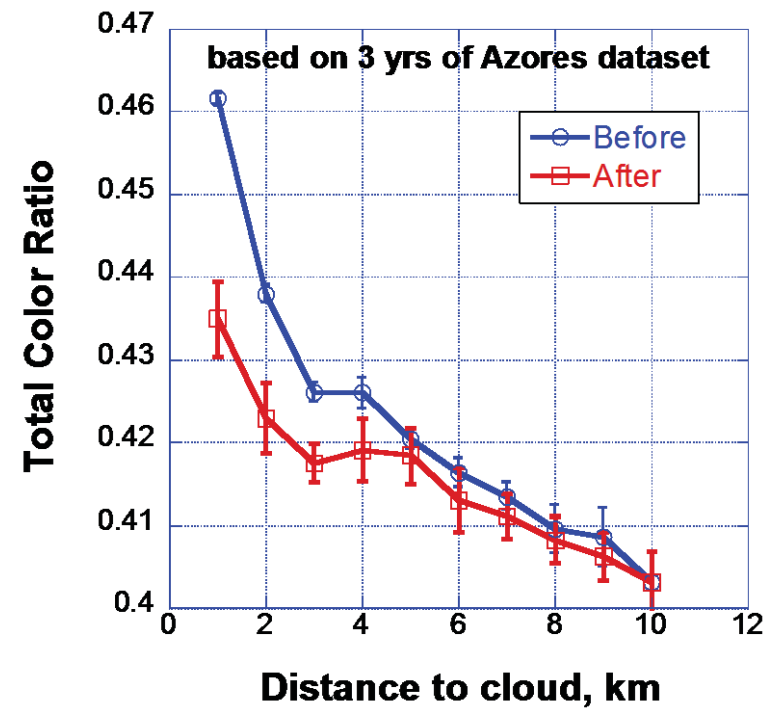
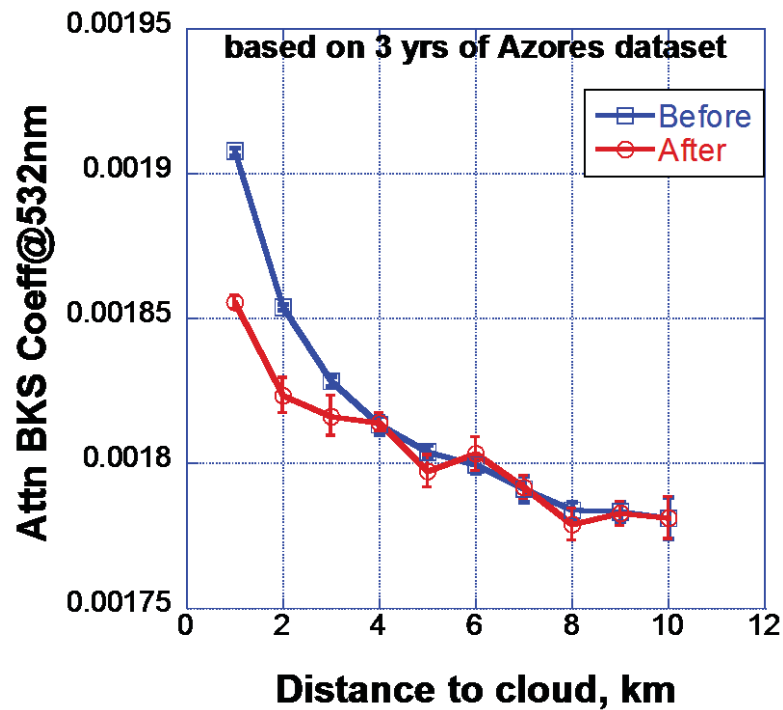


Three year-long observations: 2006.6.21-2009.6.21

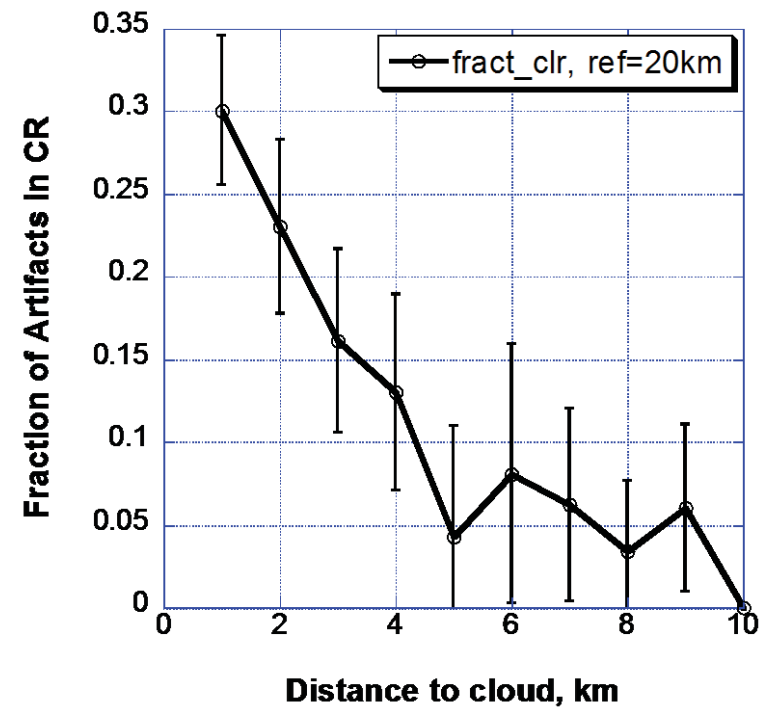
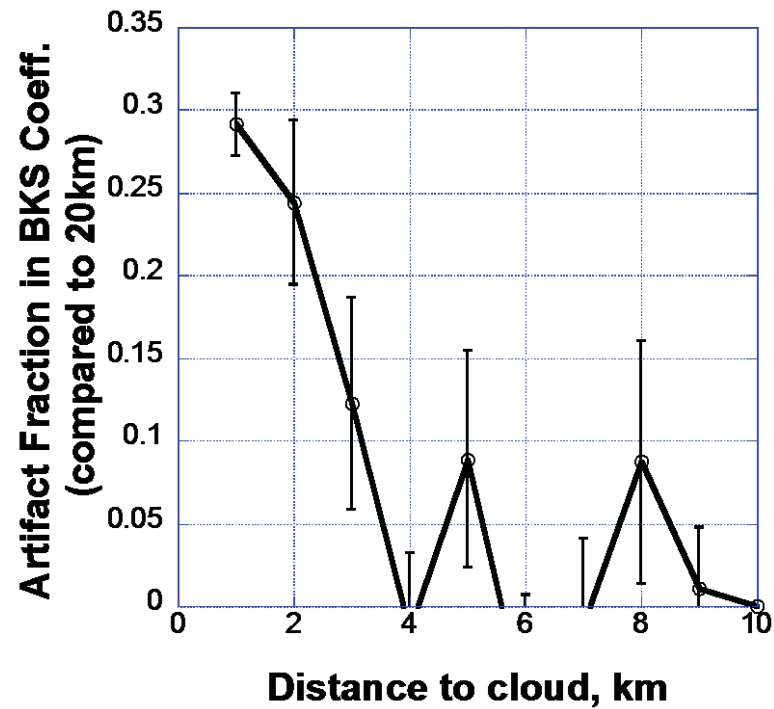
# Number of samplings



# Backscatter and Color Ratio



# Fraction of Artifacts



25%-30% is due to sampling bias

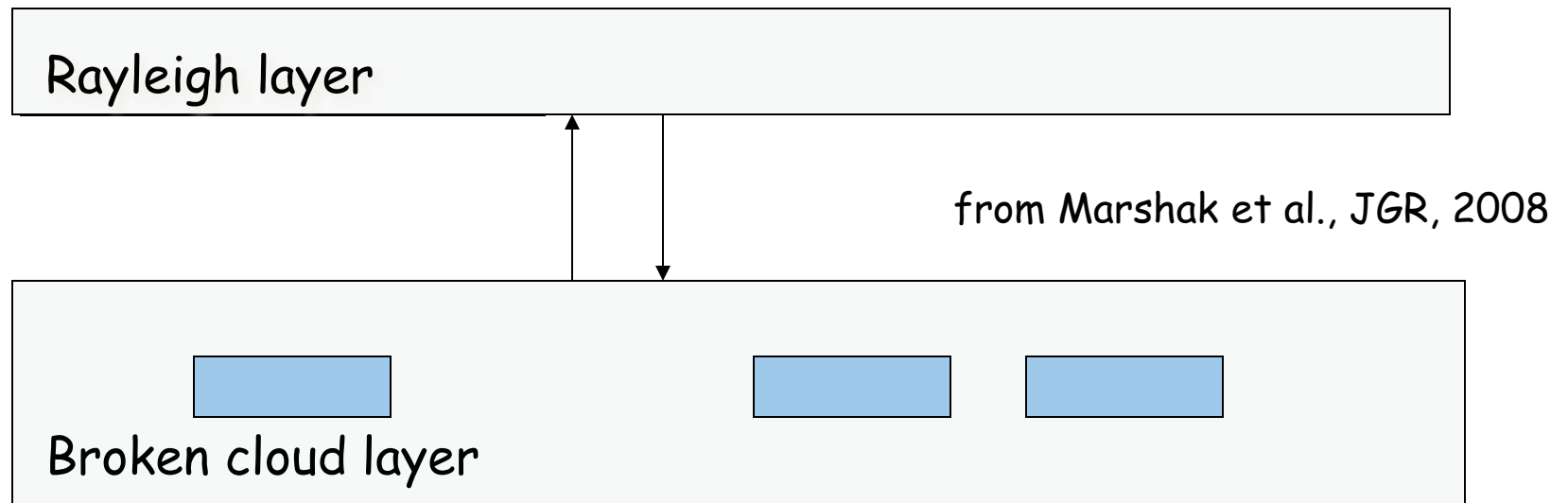
Thank you!

I can show you some slides on the model  
we use to mitigate the 3D radiative  
effect of clouds,  
if TIME permits

# How to account for the 3D cloud effect on aerosols?

The *enhancement* is defined as the diff. between the two radiances:

- one is reflected from a broken cloud field with the scattering Rayleigh layer above it
- and one is reflected from the same broken cloud field but with the Rayleigh layer having extinction but no scattering

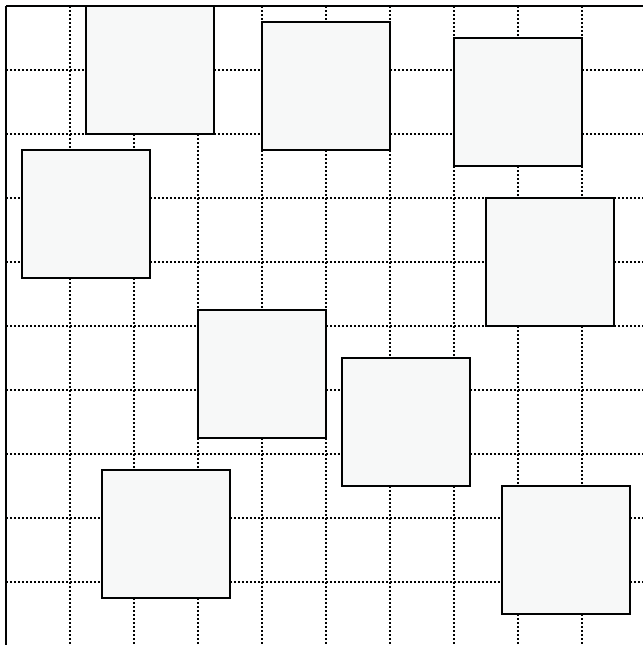




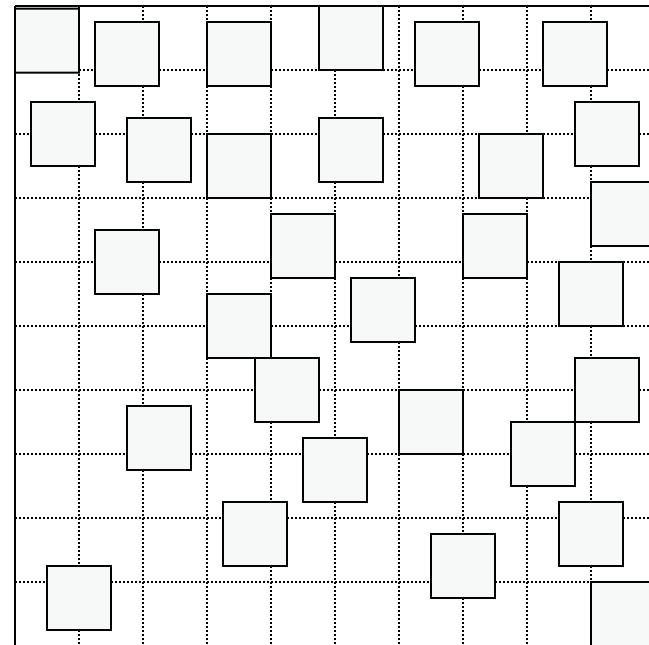
# Stochastic model of a broken cloud field

Clouds follow the Poisson distr. and are defined by

- average optical depth
- cloud fraction,  $A_c$
- aspect ratio,  $AR = \text{hor.}/\text{vert.}$



$AR = 2$



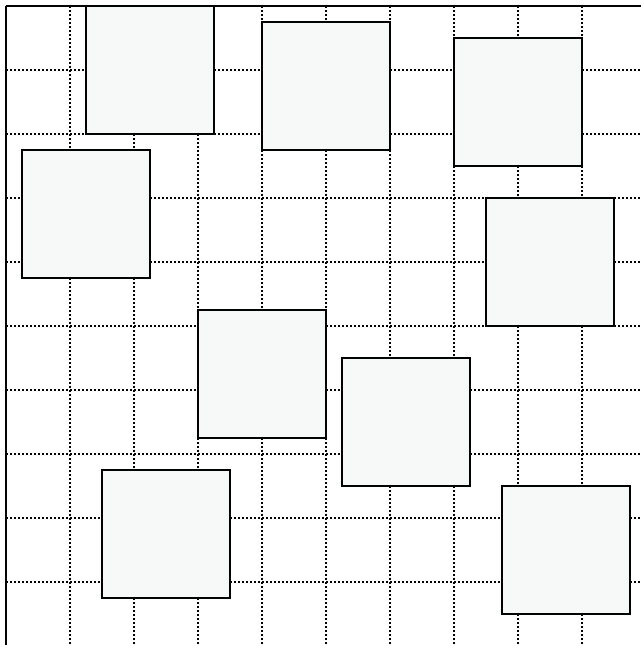
$AR = 1$

$A_c = 0.3$

# Stochastic model of a broken cloud field

Clouds follow the Poisson distr. and are defined by

- average optical depth
- cloud fraction,  $A_c$
- aspect ratio,  $AR = \text{hor.}/\text{vert.}$



$AR = 2$

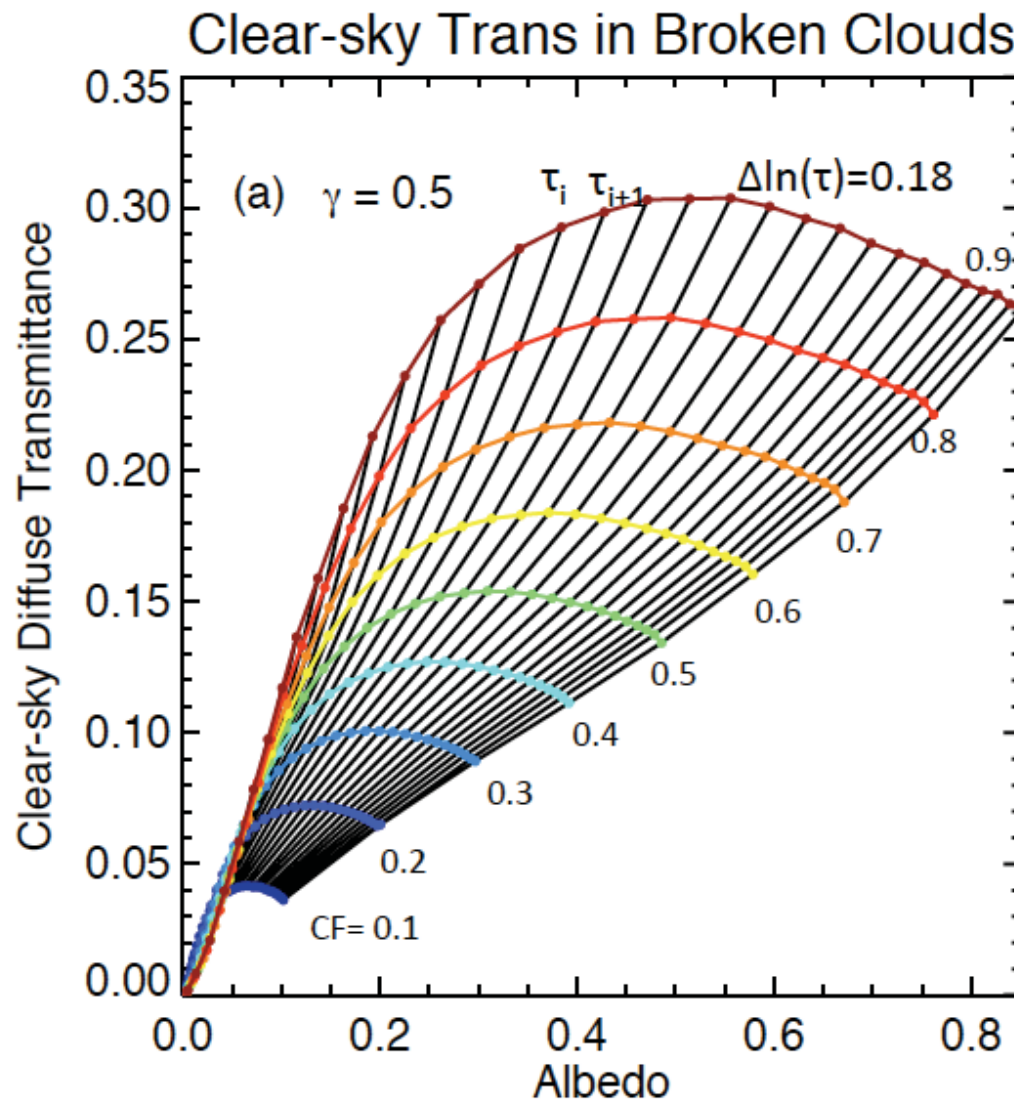
Hamburg July 2010



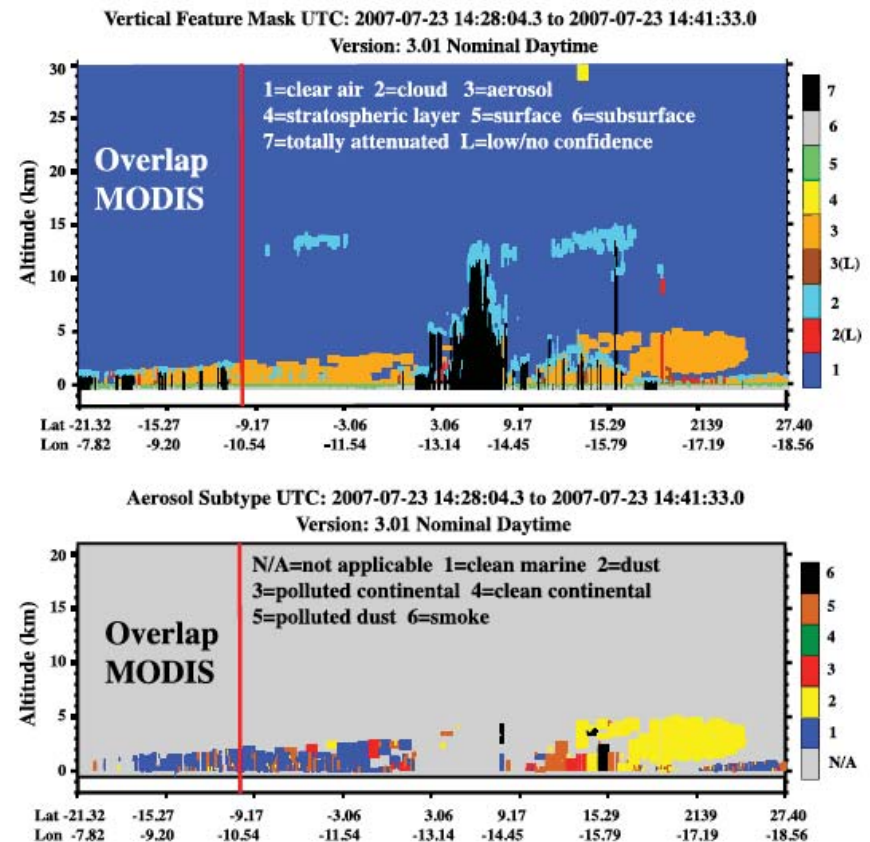
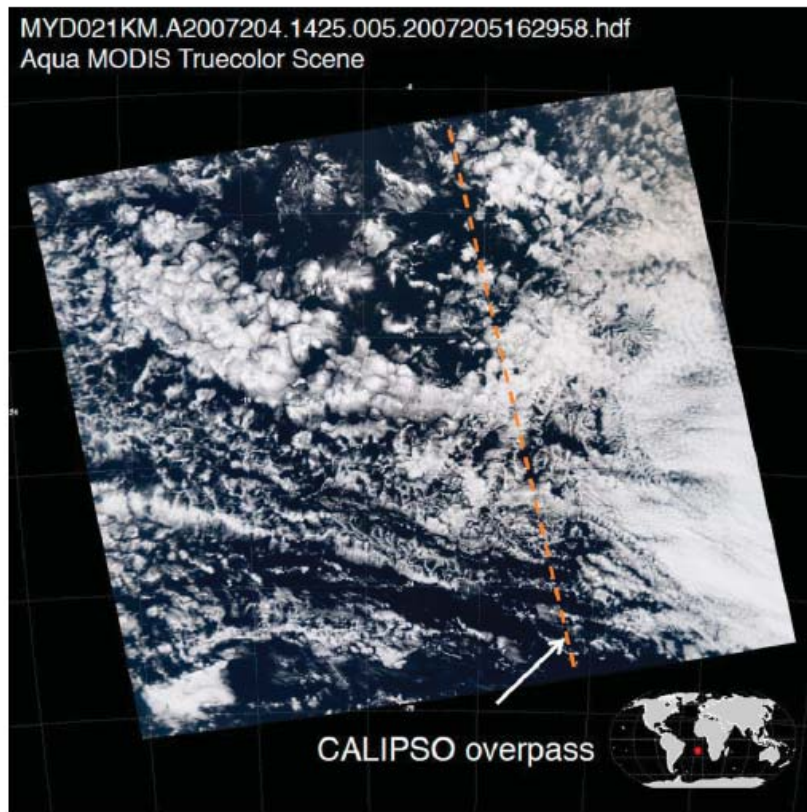
THE GEORGIA O'KEEFE MUSEUM  
*Santa Fe, New Mexico*

$A_c = 0.3$

# Correction for Cloud-Surface Interaction

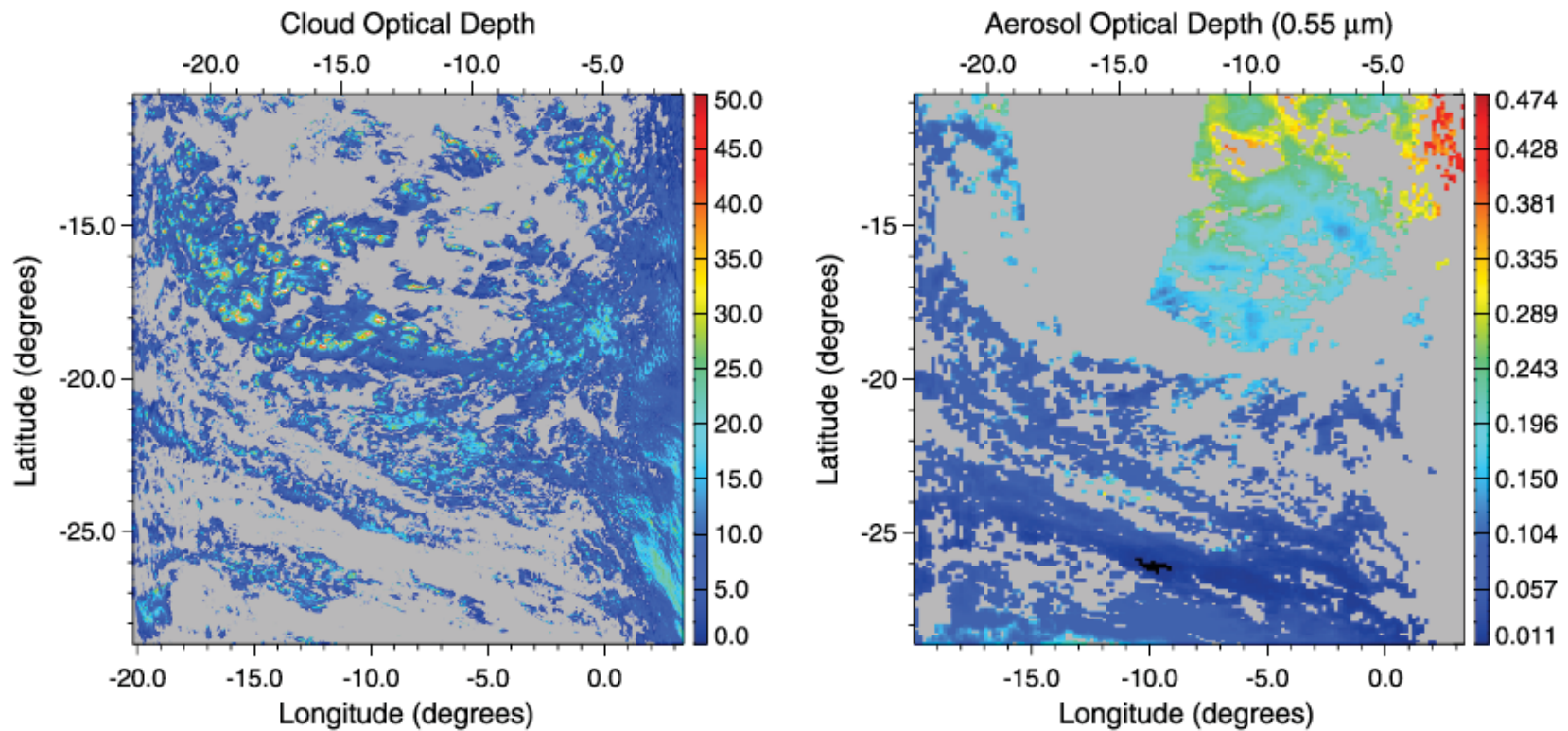


# Application to MODIS granule



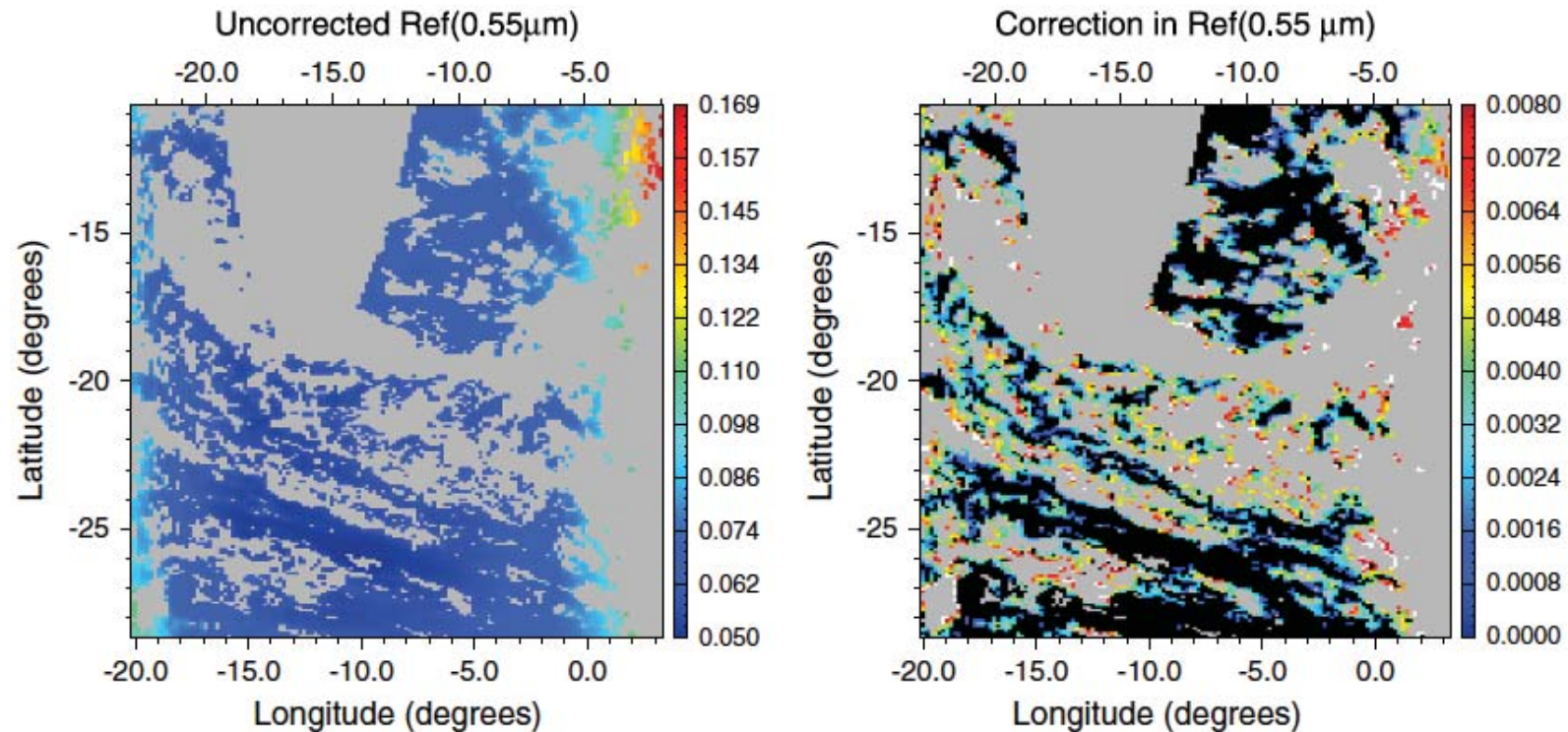
**Figure 4.** (left) MODIS granule acquired from Aqua MODIS on 23 July 2007 1425 UTC with CALIPSO overpass indicated. (right) CALIPSO observations show that clouds and aerosols are trapped in the boundary layer below 2 km. Regions overlapped with MODIS are indicated.

# Application to MODIS granule





# Application to MODIS granule



**Figure 7.** (left) Uncorrected MODIS reflectance at 0.55  $\mu\text{m}$ . (right) The correction of reflectance. The average reflectance correction is 0.004 with a standard deviation of 0.002. Pixels ( $\sim 2.4\%$ ) with reflectance correction values above the upper bound of the color bar (0.008) up to 0.016 are indicated in white.

# Take home message

- About half of all clear sky pixels are within 5 km of a low cloud;
- Aerosol properties (thus radiative forcing) in these area might be significantly different from those far from clouds;
- We cannot separate aerosol radiative forcing of climate into "direct" and "indirect" components;
- Remote sensing retrievals near clouds is challenging but excluding aerosols near clouds dramatically reduces the data volume and underestimates the forcing, while including them may overestimate it due to undetected cloud droplets and other artifacts;
- We must directly confront the transition zone to solve the aerosol-climate problem!

## **Acknowledgments:**

- NASA Radiation Sciences Program;
- NASA CALIPSO/CloudSat Project;
- DoE ASR Program.

**Thank you!**



# What's the transition zone (TZ)

The TZ between cloudy and clear air is a region of strong aerosol-cloud interactions where aerosol CCN humidify and swell when approaching the cloud, while cloud drops evaporate and shrink when moving away from the cloud.

The TZ tends to be contaminated by 'weak cloud elements', such as cloud fragments sheared off from adjacent clouds.

More precisely (Koren et al., 2009), the TZ consists of fast-changing particle clumps:

- (1) aerosols at various stages of uptake of water vapor;
- (2) cloud fragments sheared off from neighboring clouds;
- (3) incipient clouds that are forming but are not yet stable entities;
- (4) hesitant clouds—pockets of near-saturation humidity.

The TZ is difficult to study with current aircraft and with most surface remote sensors because they just don't have the time and/or spatial resolution to do so.